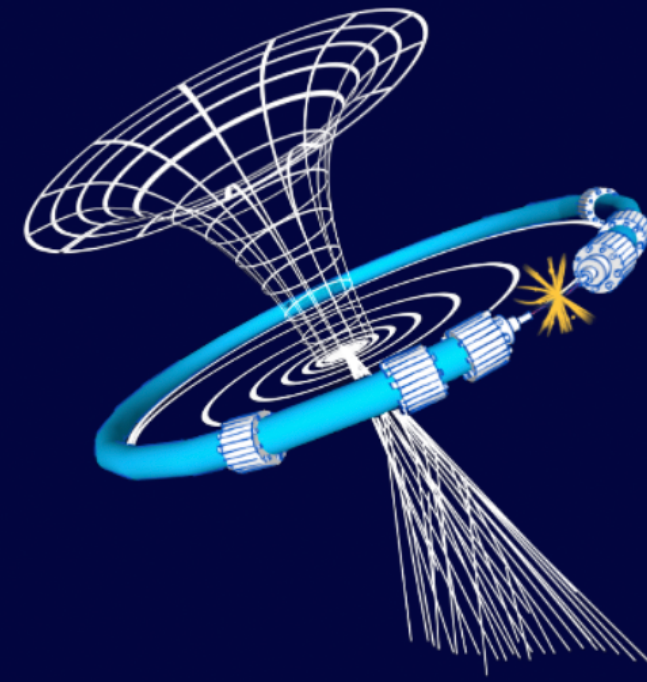


15th IDPASC Summer School

20 - 29 July 2026

Natal, Brazil



Neutrino Physics

Renata Zukanovich Funchal

Instituto de Física, Universidade de São Paulo

Lecture I:

Oscillations & Mass Ordering

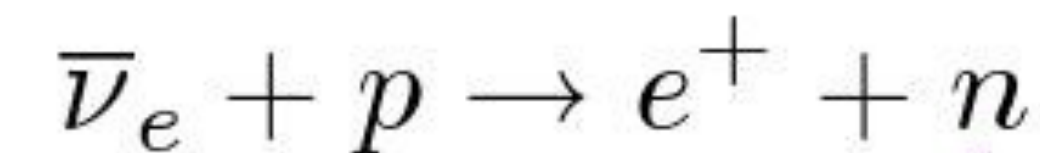
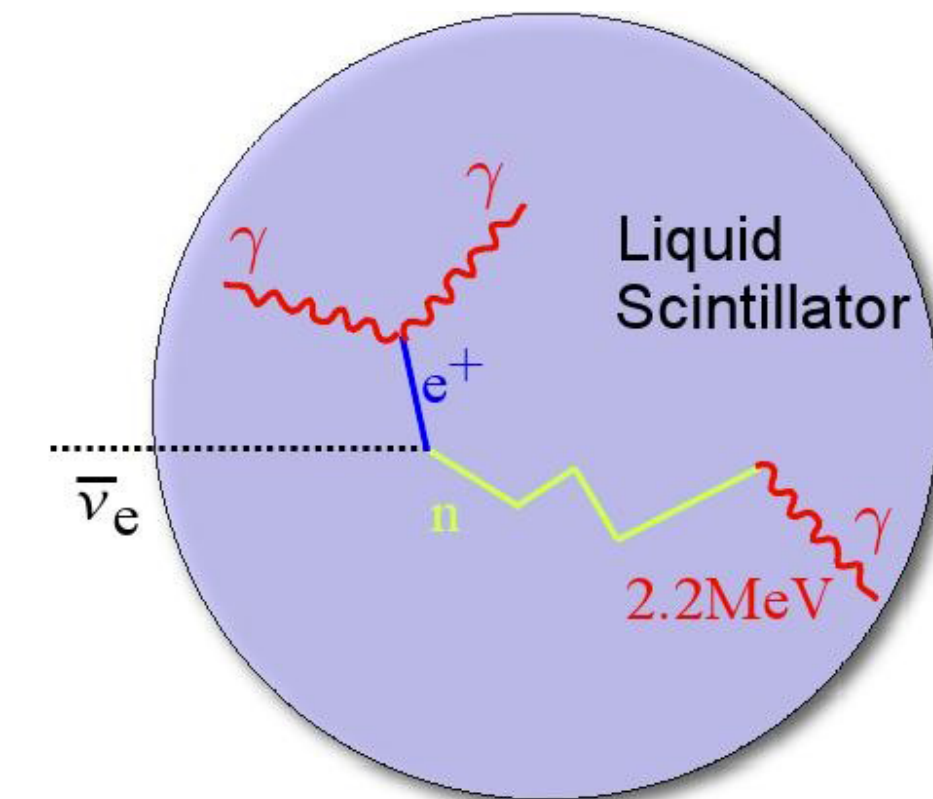
“Anyway, in as far as the neutrino masses are negligible compared to the charged lepton masses, the observable effects of leptonic mixing angles are limited to fairly exotic effect such as neutrino oscillations.”

Froggatt & Nielsen

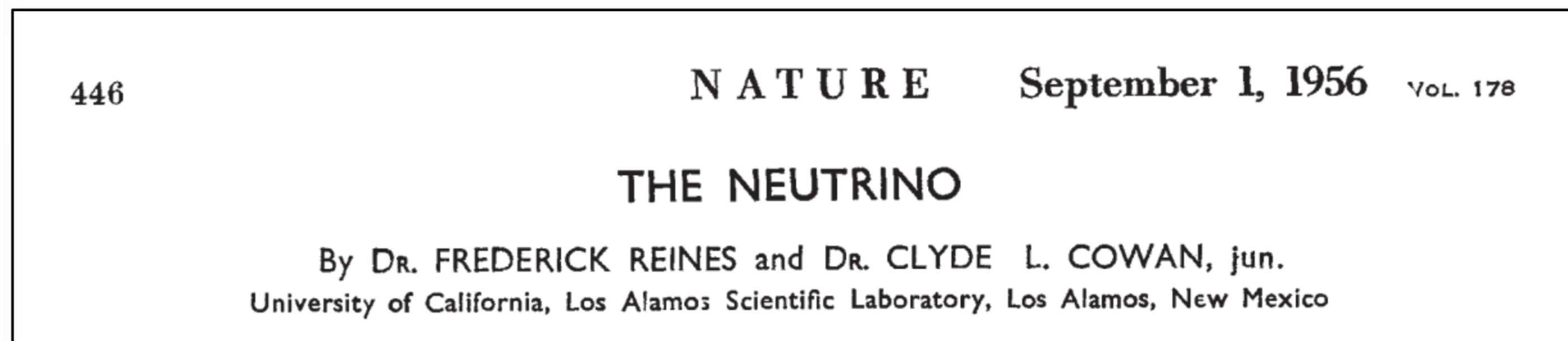
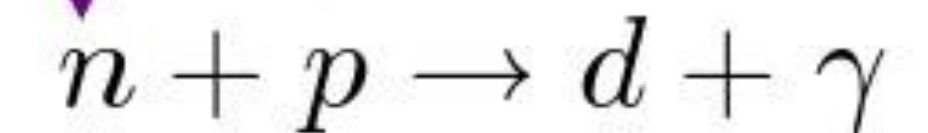
Detecting the First Neutrino

The electron neutrino

70 years ago !

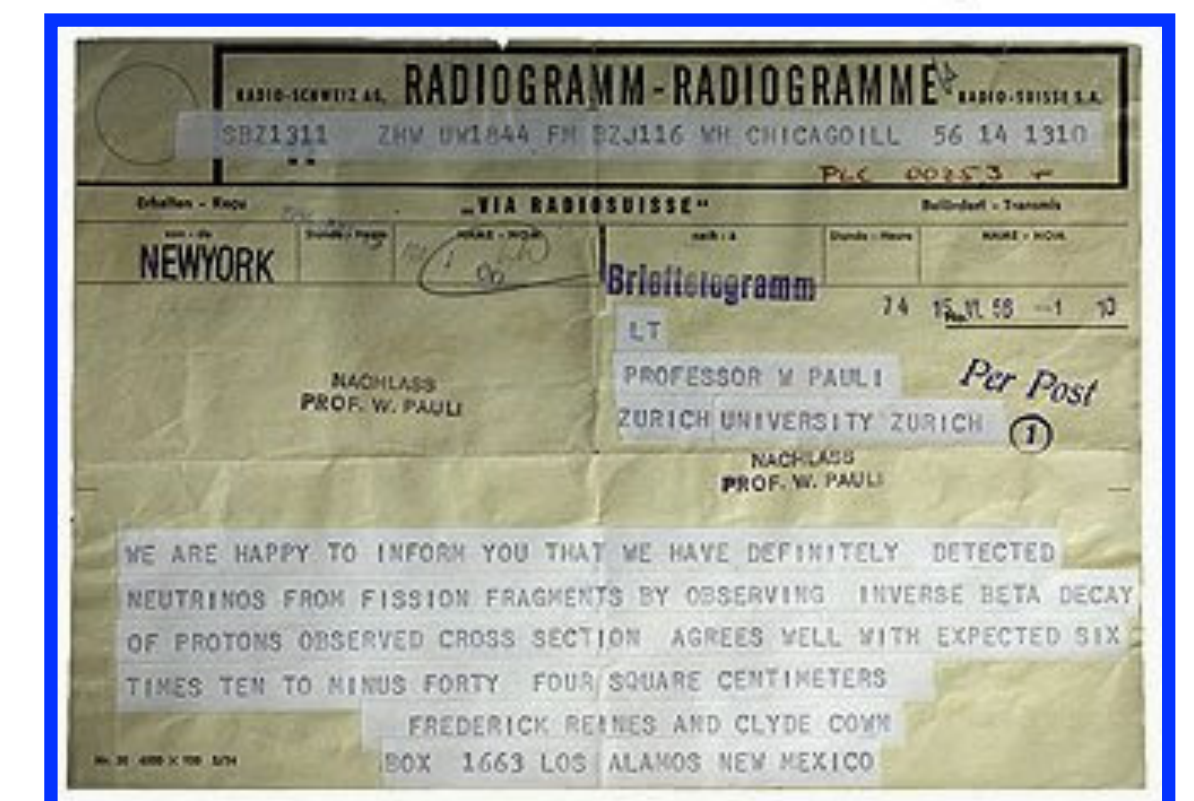


↓ 207 μs



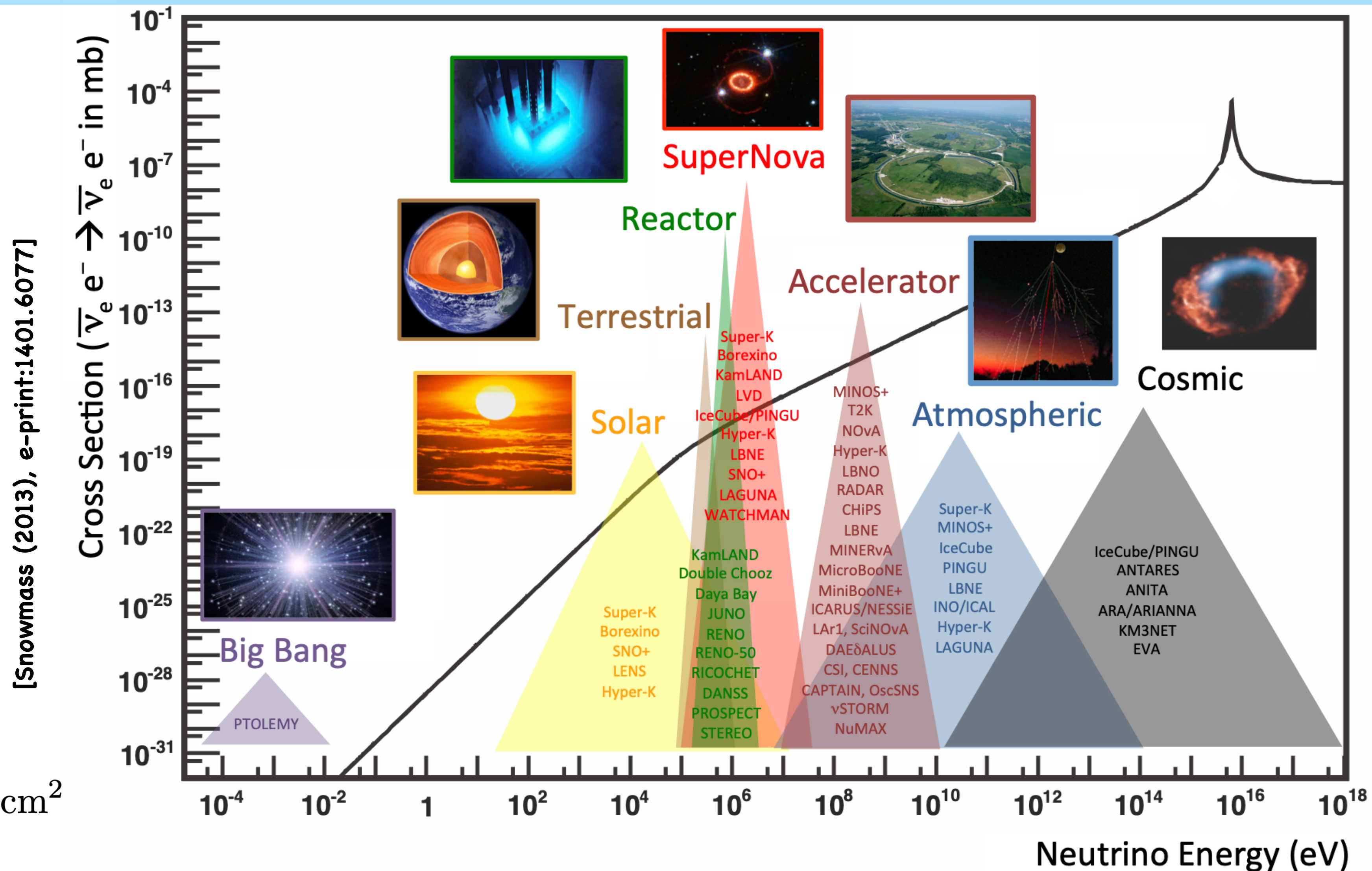
C.L. Cowan Jr, et al. Science 124, 103 (1956)

F. Reines and C.L. Cowan Jr, Nature 178, 446 (1956)



Neutrinos have a Ubiquitous Presence

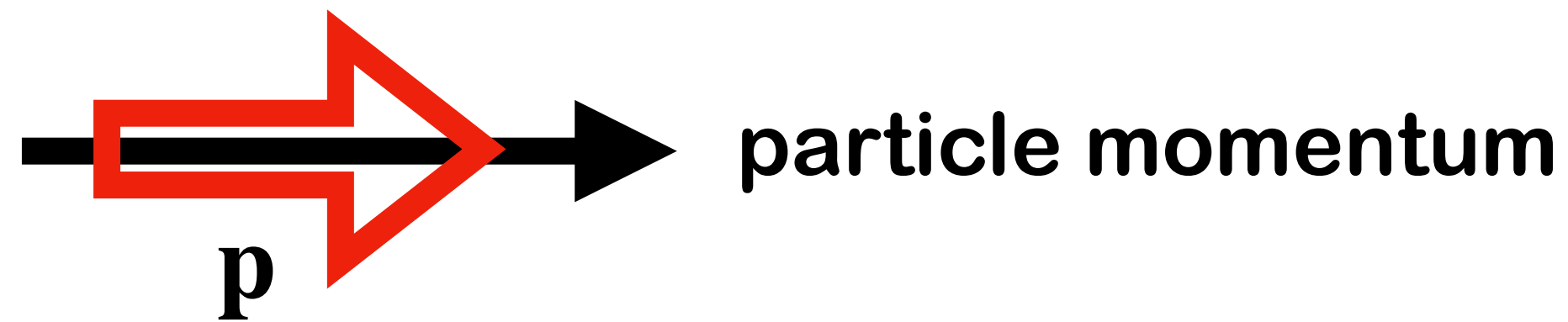
Span many orders of magnitude in energy and x-section



Helicity of Neutrinos

Is the neutrino left- or right-handed?

1958: Experiment by M. Goldhaber, L. Grodzins and A.W. Sunyar



OR

right-handed = positive helicity ($h=+1$)

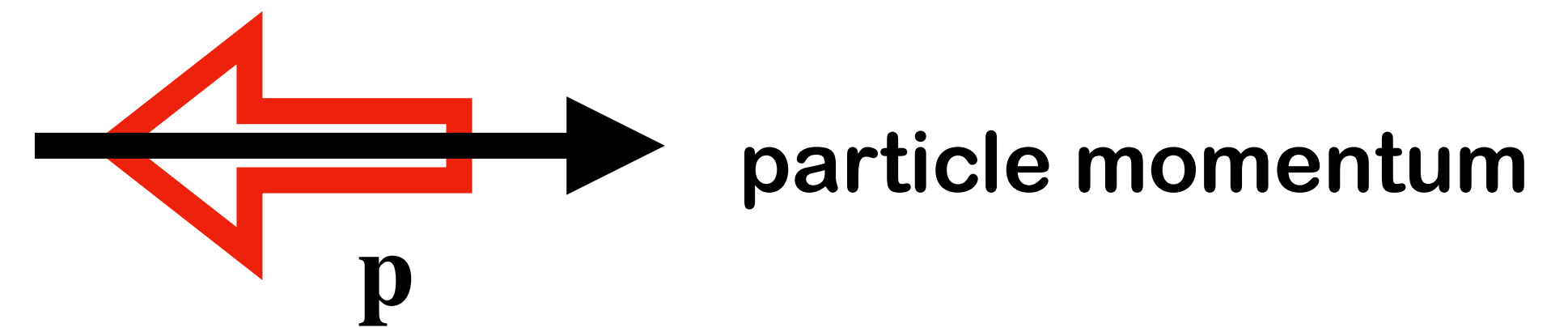
free spin 1/2 particle



Maurice Goldhaber

Lee Grodzins

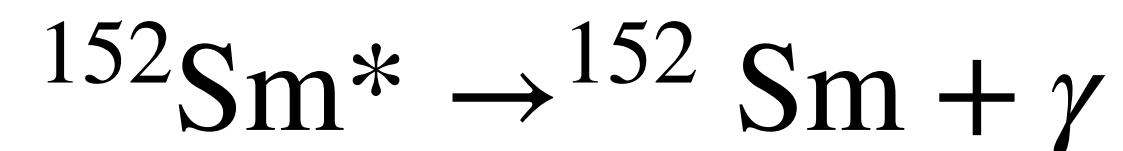
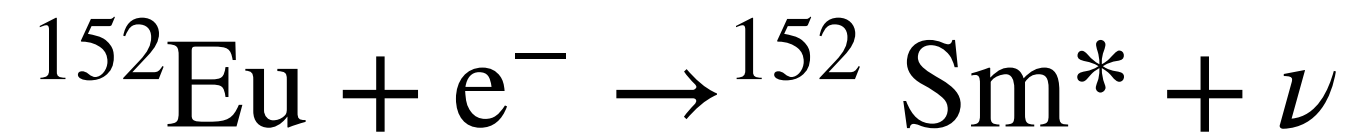
Andrew Sunyar



left-handed = negative helicity ($h=-1$)

Helicity of Neutrinos

The neutrino is left-handed



$$J(^{152}\text{Eu}) = J(^{152}\text{Sm}) = 0 \quad L(e^-) = 0$$

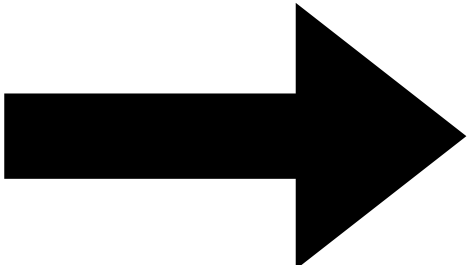
angular momentum conservation

momentum conservation

$$S_z(e^-) = S_z(\nu) + S_z(\gamma) \quad S_z(\nu) = -\frac{1}{2}S_z(\gamma)$$

$$\vec{p}(^{152}\text{Eu}) \simeq \vec{p}(^{152}\text{Sm}^{(*)}) \simeq 0$$

$$\vec{p}_\nu = -\vec{p}_\gamma$$


$$\vec{S}_\nu \cdot \vec{p}_\nu = \frac{1}{2}\vec{S}_\gamma \cdot \vec{p}_\gamma \quad \text{helicity of } \nu = 1/2 \text{ helicity of } \gamma$$

Helicity of Neutrinos*

M. GOLDBABER, L. GRODZINS, AND A. W. SUNYAR

Brookhaven National Laboratory, Upton, New York

(Received December 11, 1957)

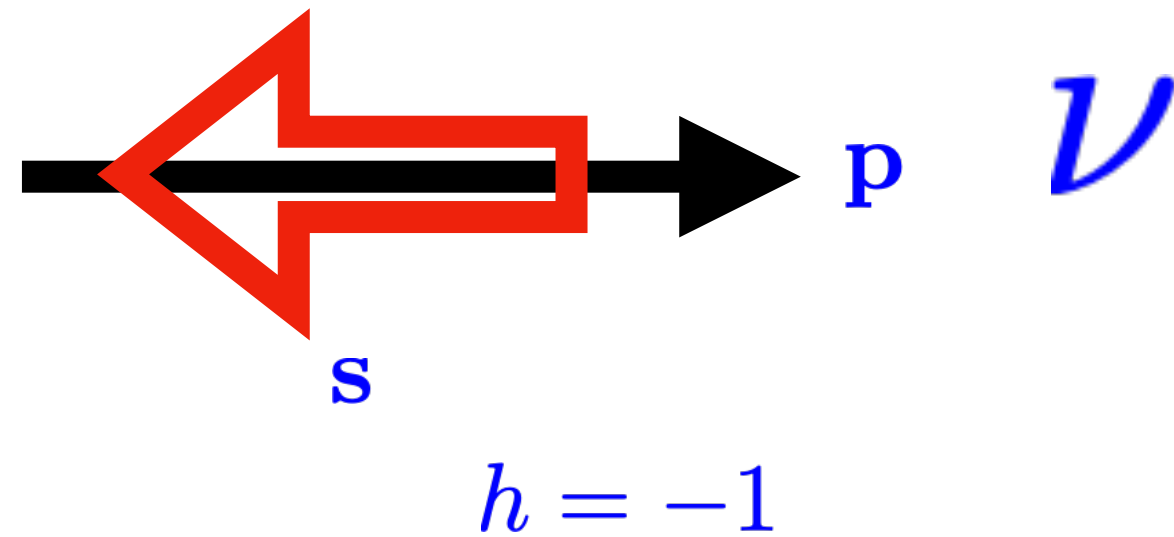
A COMBINED analysis of circular polarization and resonant scattering of γ rays following orbital electron capture measures the helicity of the neutrino. We have carried out such a measurement with Eu^{152m} , which decays by orbital electron capture. If we assume the most plausible spin-parity assignment for this isomer compatible with its decay scheme,¹ 0^- , we find that the neutrino is "left-handed," i.e., $\sigma_\nu \cdot \hat{p}_\nu = -1$ (negative helicity).

leads to a V-A current

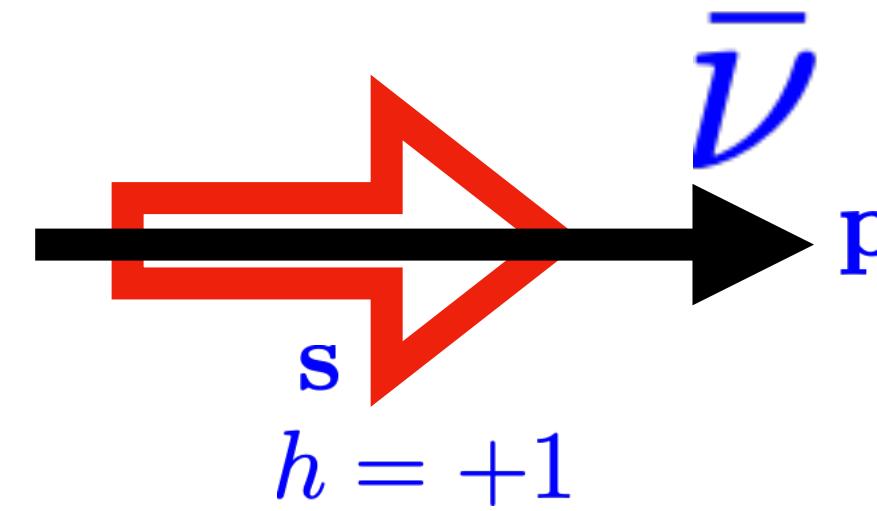
Helicity and Chirality

Neutrino & Antineutrinos

neutrinos have negative helicity



antineutrinos have positive helicity



if massless only need

$$\nu_L = \frac{1}{2}(1 - \gamma_5)\nu$$

negative chirality field

Dirac Equation

particle

antiparticle

Chirality

L = negative chirality

Helicity

Amplitude

$h = -1$ ~ 1

$h = +1$ $\sim \frac{m}{E}$

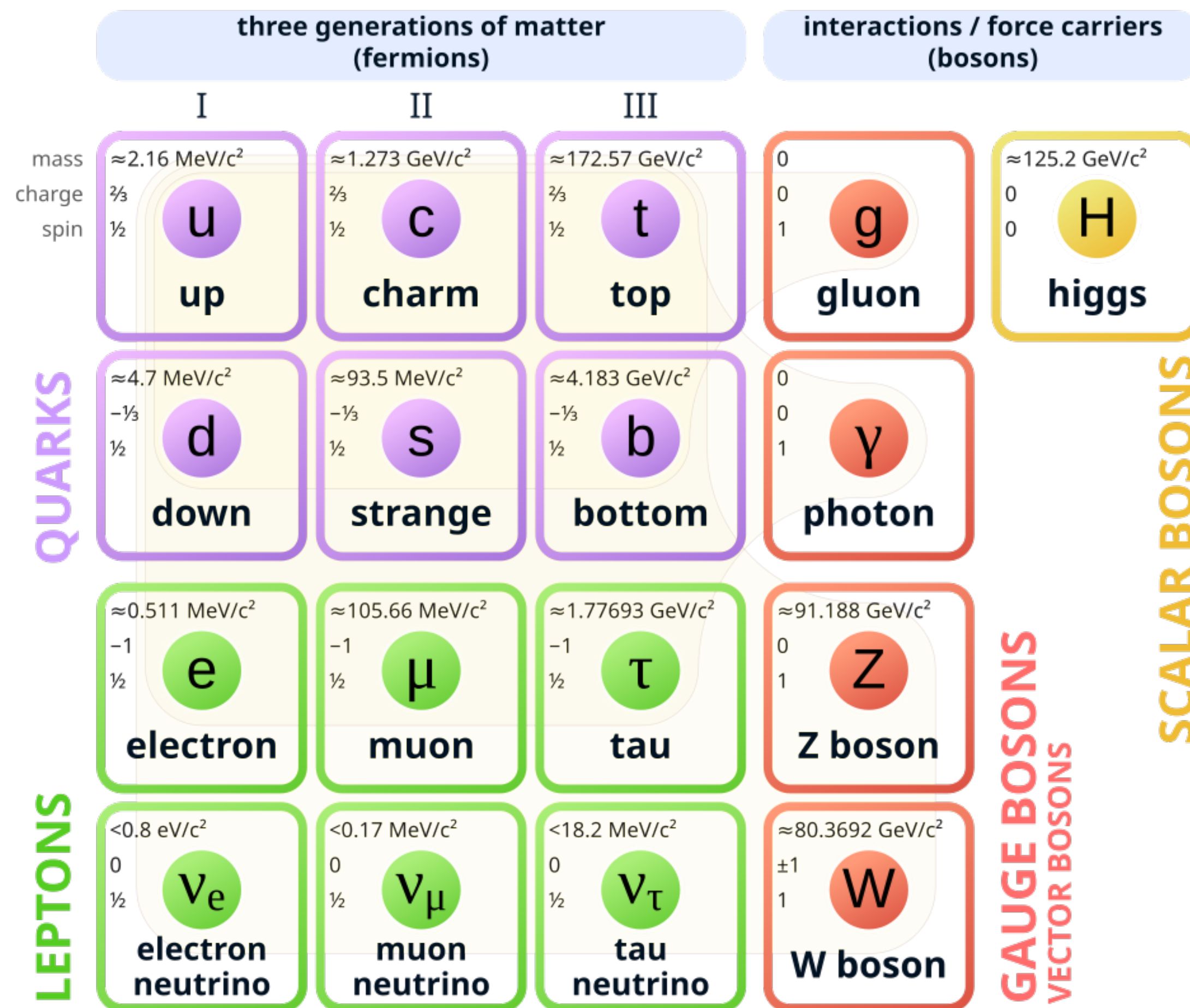
$h = -1$ $\sim \frac{m}{E}$

$h = +1$ ~ 1

The Neutrino Paved The Way

The best description we have of fundamental particles and interactions

Standard Model of Elementary Particles



$$SU(3)_c \times \boxed{SU(2)_L \times U(1)_Y}$$

Based on: [\[see Oscar Eboli\]](#)

Lorentz Invariance

Gauge Invariance

With:

spontaneous symmetry breaking

$$SU(2)_L \times U(1)_Y \rightarrow U(1)_{em}$$

Neutrinos in the Standard Model

Symmetry Transformations

SM is based on the gauge symmetry group

$$\mathbf{SU(3)}_c \times \mathbf{SU(2)}_L \times \mathbf{U(1)}_Y \rightarrow \mathbf{SU(3)}_c \times \mathbf{U(1)}_{em}$$

$$I_{1L}, I_{2L}, I_{3L} \quad [I_{iL}, I_{jL}] = i\epsilon_{ij}I_{kL} \quad \text{generators of } \mathbf{SU(2)}_L \text{ (weak isospin)}$$

$$Y \quad \text{generator of } \mathbf{U(1)}_Y \text{ (hypercharge)}$$

$$Q_{em} = Y + I_{3L}$$

Left chiral fermion fields transform as weak isospin doublets

$$\begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} \begin{array}{l} \longrightarrow Q_{em}(\nu_e) = 0 \quad I_{3L} = 1/2 \\ \longrightarrow Q_{em}(e) = -1 \quad I_{3L} = -1/2 \end{array} \quad (\mathbf{1, 2, -1/2})$$

Neutrinos in the Standard Model

Symmetry Transformations

SM is based on the gauge symmetry group

$$\text{SU}(3)_c \times \text{SU}(2)_L \times \text{U}(1)_Y \rightarrow \text{SU}(3) \times \text{U}(1)_{\text{em}}$$

$$I_{1L}, I_{2L}, I_{3L} \quad [I_{iL}, I_{jL}] = i\epsilon_{ijk} I_{kL} \quad \text{generators of } \text{SU}(2)_L \quad (\text{weak isospin})$$

$$Y \quad \text{generator of } \text{U}(1)_Y \quad (\text{hypercharge})$$

$$Q_{\text{em}} = Y + I_{3L}$$

Right chiral fermion fields transform as weak isospin singlets

$$e_R \longrightarrow Q_{\text{em}}(e) = -1 \quad I_{3L} = 0 \quad (1, 1, -1)$$

neutrino fields enter only in $\text{SU}(2)_L$ doublets



Neutrinos in the Standard Model

3 generations of fermions

LEPTONS

$$\begin{pmatrix} \nu_{eL} \\ e_L \\ e_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\mu L} \\ \mu_L \\ \mu_R \end{pmatrix}$$

$$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \\ \tau_R \end{pmatrix}$$

$$= L_\alpha \quad (\mathbf{1}, \mathbf{2}, -\mathbf{1/2})$$

$$= E_\alpha \quad (\mathbf{1}, \mathbf{1}, -\mathbf{1})$$

Neutrinos in the Standard Model

3 generations of fermions

LEPTONS

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$$\begin{pmatrix} \nu_{\tau L} \\ \tau_L \\ \tau_R \end{pmatrix}$$

$$= L_\alpha \quad (1, 2, -1/2)$$

$$= E_\alpha \quad (1, 1, -1)$$

QUARKS

$$\begin{pmatrix} u_L^i \\ d_L^i \\ u_R^i \\ d_R^i \end{pmatrix}$$

$$\begin{pmatrix} c_L^i \\ s_L^i \\ c_R^i \\ s_R^i \end{pmatrix}$$

$$\begin{pmatrix} t_L^i \\ b_L^i \\ t_R^i \\ b_R^i \end{pmatrix}$$

$$= Q_\alpha^i \quad (3, 2, 1/6)$$

$$= U_\alpha^i \quad (3, 1, 2/3)$$

$$= D_\alpha^i \quad (3, 1, -1/3)$$

Masses & Mixing in the SM

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} .$$

chirality flipping term (needs both L & R)

This is not gauge invariant!

Masses & Mixing in the SM

Fermion Masses

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This is not gauge invariant!

Fortunately the ϕ can also fix this via Yukawa couplings to fermions

$$-\mathcal{L}_Y = Y_{\alpha\beta}^{\ell} \bar{L}_{\alpha} E_{\beta} \phi + Y_{\alpha\beta}^d \bar{Q}_{\alpha} D_{\beta} \phi + Y_{\alpha\beta}^u \bar{Q}_{\alpha} U_{\beta} \tilde{\phi} + \text{h.c.} \quad \text{This is gauge invariant!}$$

Masses & Mixing in the SM

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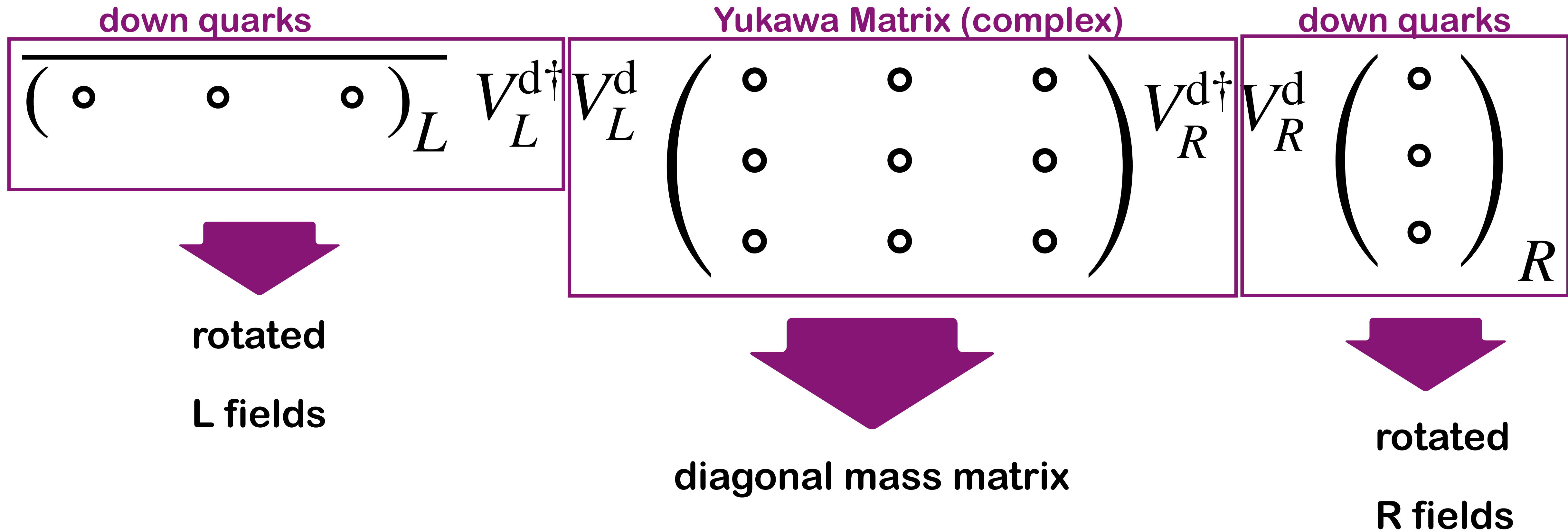
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$$\begin{array}{ccc} \tilde{\phi} = i\sigma_2 \phi^* & & \uparrow \\ \text{EWSB} & & \\ \phi = \begin{pmatrix} \phi^+ \\ \phi_0 \end{pmatrix} & \xrightarrow{\quad \text{blue arrow} \quad} & \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H \end{pmatrix} \end{array}$$

Masses & Mixing in the SM

Biunitary Transformation



and similar to up quarks

Masses & Mixing in the SM

Fermion Masses

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} \quad \text{chirality flipping term (needs both L \& R)}$$

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QUARK MASSES

$$m_u \bar{u}_L u_R + m_d \bar{d}_L d_R + m_c \bar{c}_L c_R + \dots + \text{h.c.}$$

$$m_{U\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^U \quad m_{D\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^D$$

after diagonalization of $Y^{u,d}$

$$V_{CKM} = V_L^{u\dagger} V_L^d \quad \text{will impact CC interactions}$$

Masses & Mixing in the SM

Biunitary Transformation

$$m \bar{\psi} \psi = m \bar{\psi} (P_L + P_R) \psi = m \bar{\psi}_R \psi_L + \text{h.c.} \quad \text{chirality flipping term (needs both L \& R)}$$

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$$m_e \bar{e}_L e_R + m_{\mu} \bar{\mu}_L \mu_R + m_{\tau} \bar{\tau}_L \tau_R + \text{h.c.}$$

$$m_{\alpha} = \frac{v}{\sqrt{2}} y_{\alpha\alpha}^{\ell} \quad \text{after diagonalization of } Y^{\ell}$$

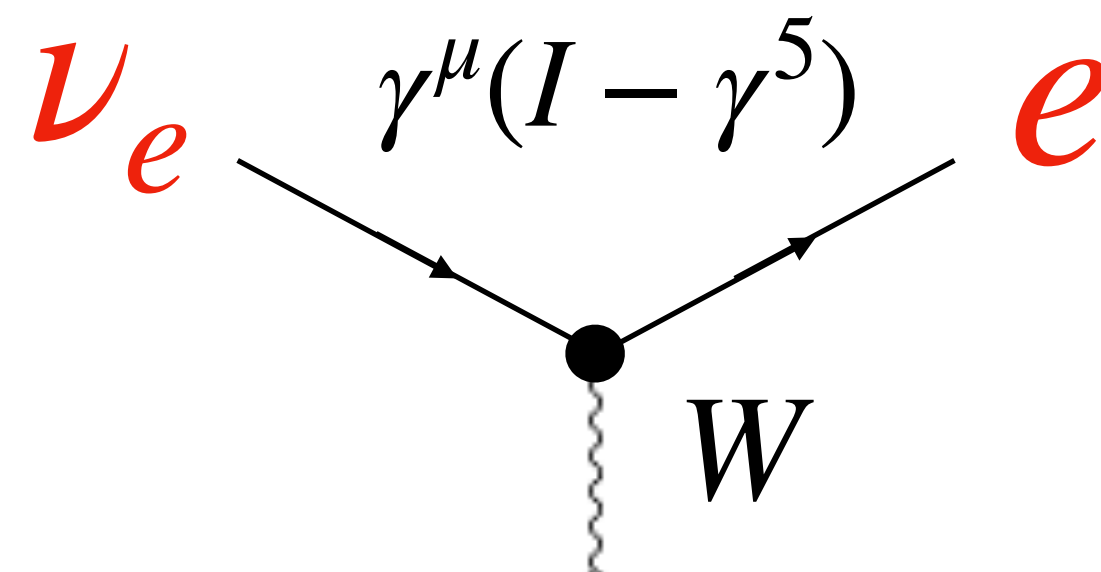
CHARGED LEPTON MASSES

Neutrinos do not have mass (no R component)

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)



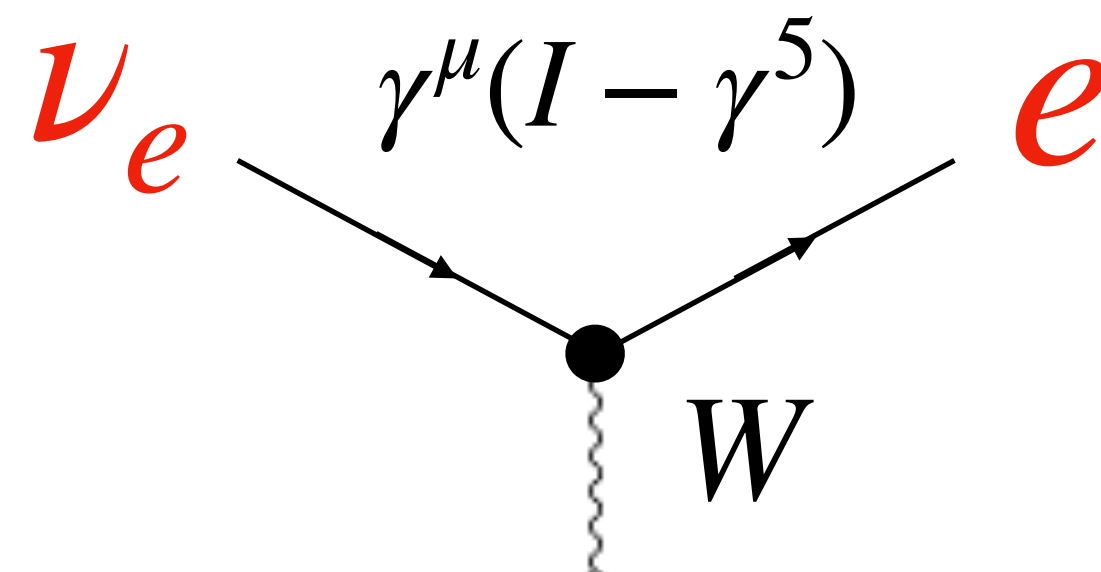
Flavor diagonal in the
interaction basis

allows the concept of neutrino flavor = flavor of the charged lepton connected to the same CC vertex

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)



Flavor diagonal in the
interaction basis

$$L_\alpha \rightarrow e^{i\theta_\alpha} L_\alpha \quad E_\alpha \rightarrow e^{i\theta_\alpha} E_\alpha$$

3 accidental global $U(1)_{L_\alpha}$ symmetries of the SM

particles (antiparticles)	L_e	L_μ	L_τ
e^-, ν_e ($e^+, \bar{\nu}_e$)	-1 (+1)	0	0
μ^-, ν_μ ($\mu^+, \bar{\nu}_\mu$)	0	-1 (+1)	0
τ^-, ν_τ ($\tau^+, \bar{\nu}_\tau$)	0	0	-1 (+1)

$$L \equiv L_e + L_\mu + L_\tau$$

Total Lepton Number

define neutrino (antineutrino) according to the convention above (conserve Family Lepton Number)

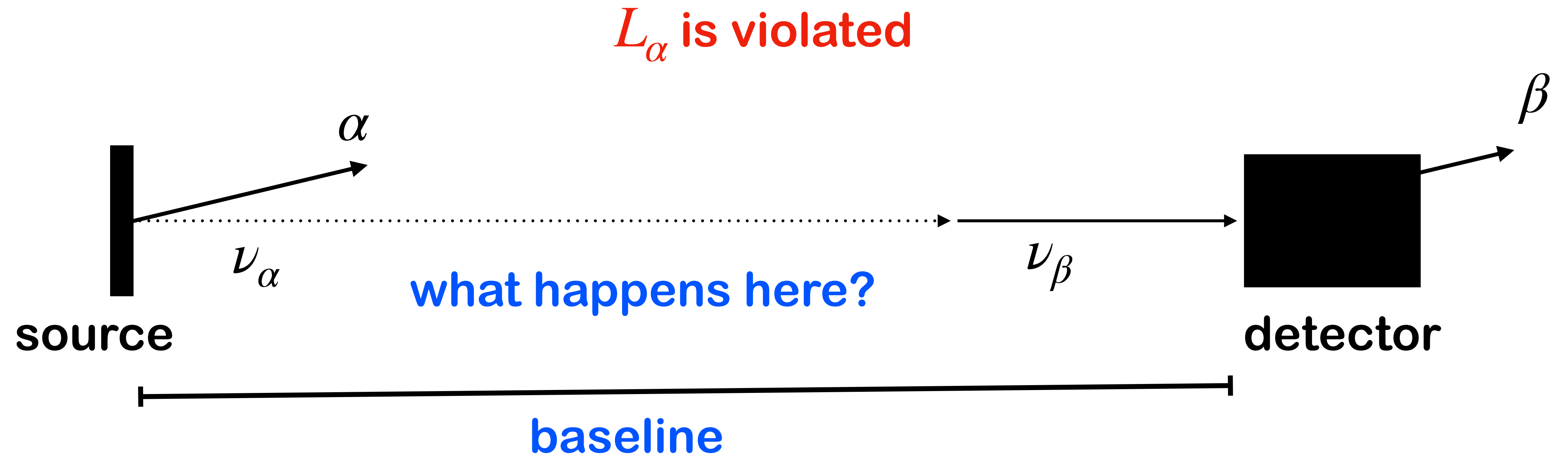
Many Experimental Evidences

Neutrinos Change Flavor in Nature

- Solar ν_e transitioning to ν_μ / ν_τ (Cl, Ga, SK, SNO, Borexino)
- Atmospheric ν_μ & $\bar{\nu}_\mu$ disappearing mostly to ν_τ (SK, DeepCore, ORCA)
- Accelerator ν_μ & $\bar{\nu}_\mu$ disappearing (K2K, T2K, MINOS, NO ν A)
- Accelerator $\nu_\mu / \bar{\nu}_\mu$ reappearing as $\nu_e / \bar{\nu}_e$ (T2K, MINOS, NO ν A)
- Reactor $\bar{\nu}_e$ disappearing (KamLAND, DC, Daya Bay, RENO, SNO+, JUNO)

This is Evidence of Neutrino Mass

Neutrino Change Flavor in Nature

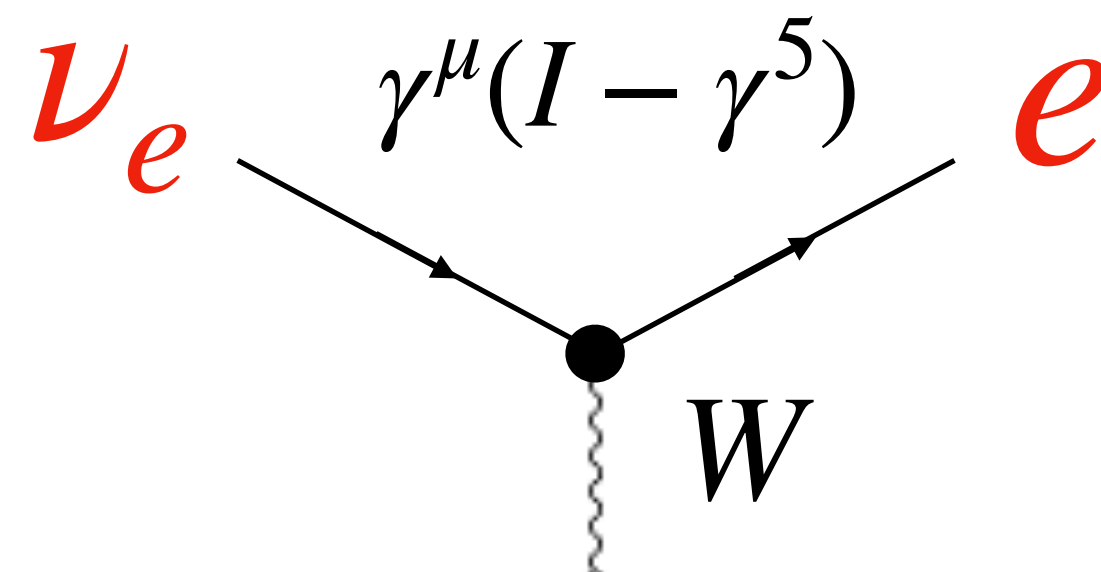


We need go Beyond the SM

Neutrinos in the Standard Model

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)



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τ^-, ν_τ ($\tau^+, \bar{\nu}_\tau$)	0	0	-1 (+1)

$$L \equiv L_e + L_\mu + L_\tau$$

Total Lepton Number

Family Lepton Number is violated by Oscillations - but total Lepton Number is conserved

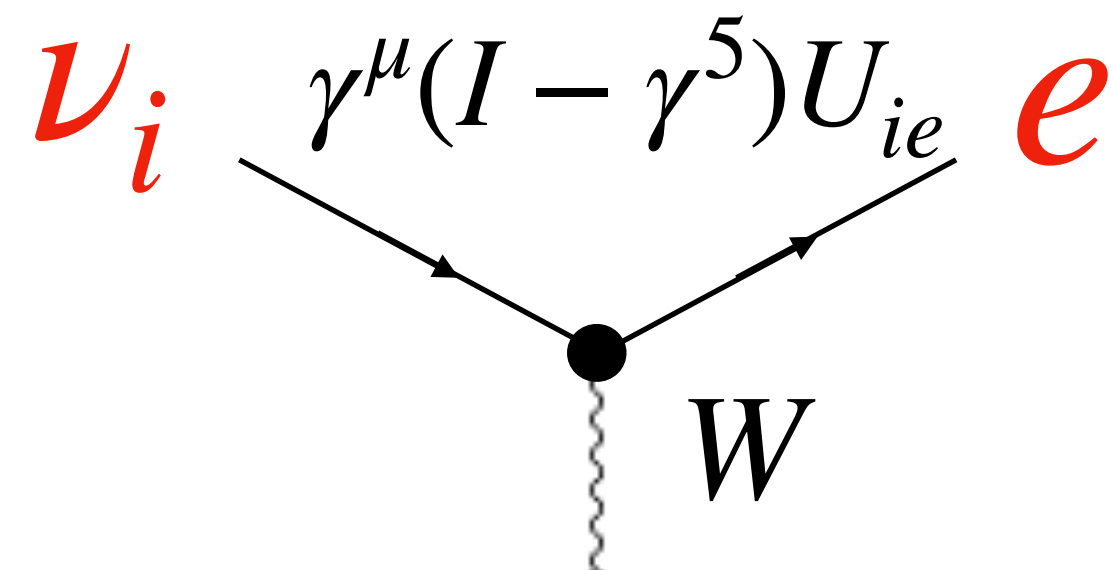
If neutrino = antineutrino (Majorana) : L also should be violated

Neutrinos in the Mass Basis

Neutrino Interactions with Gauge Bosons

Charged Current Interaction (CC)

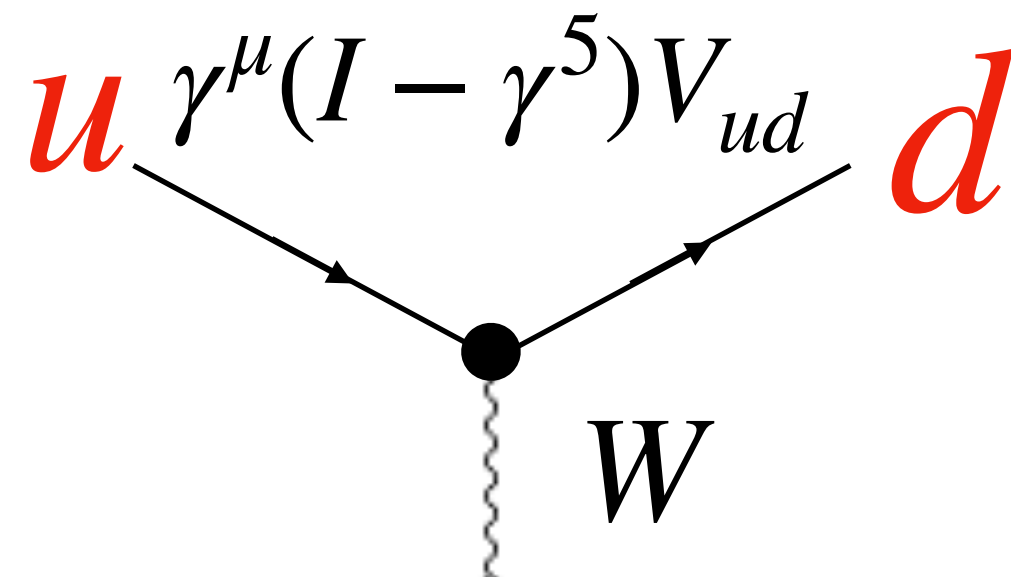
in the mass basis



Flips up $\longleftrightarrow$ down components of the L doublets

Via PMNS mixing matrix (massive neutrinos!)

mass basis $\neq$ interaction basis



Flips up $\longleftrightarrow$ down components of the L doublets

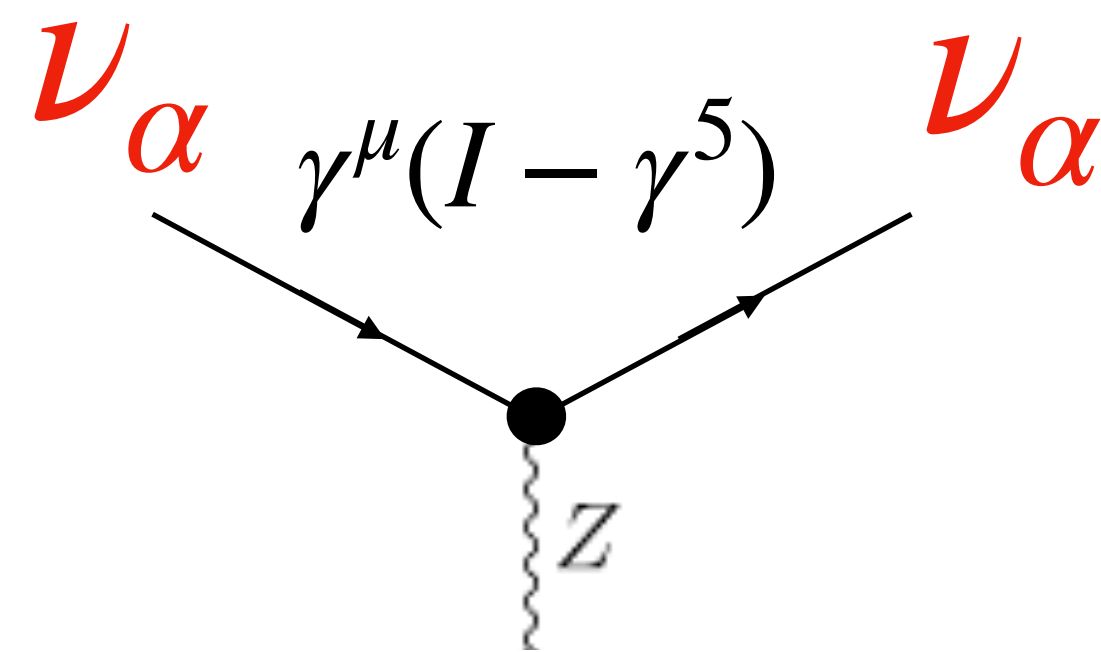
Via CKM mixing matrix

mass basis $\neq$ interaction basis

Neutrinos in the Standard Model

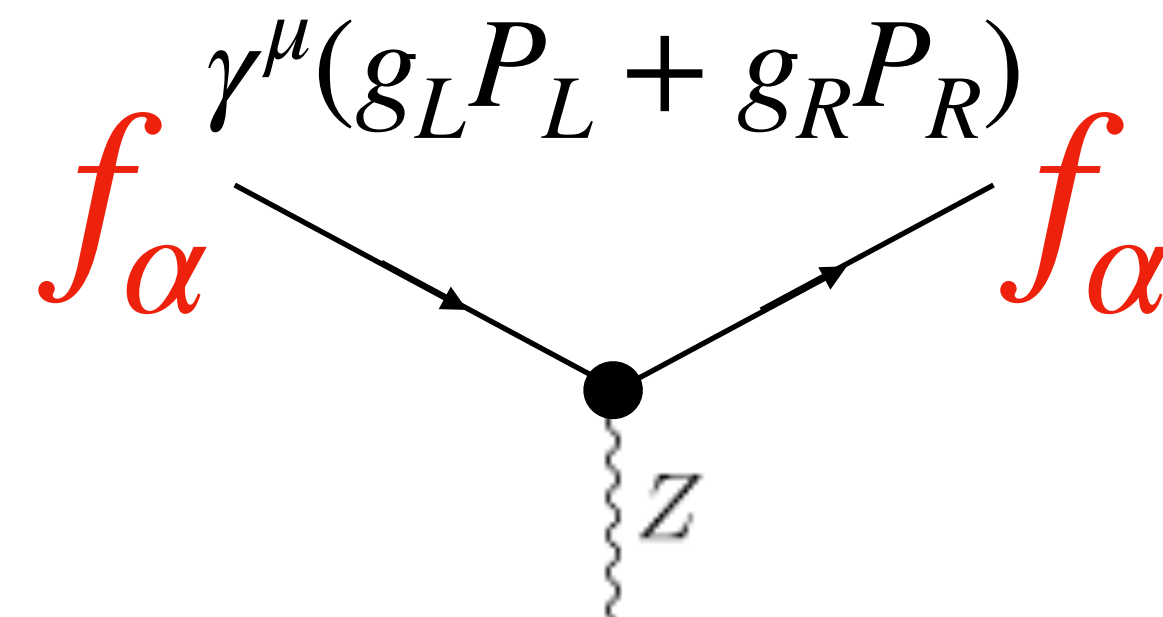
Neutrino Interactions with Gauge Bosons

Neutral Current (NC)



Couples only to L component

No flavor changing neutral currents



Couples only to both L & R components

$$g_L = I_{3L} - Q \sin^2 \theta_W$$
$$g_R = -Q \sin^2 \theta_W$$

only neutrinos/antineutrinos of left chirality
participate of CC or NC interactions
This will not change with mass!

Neutrino Flavor Oscillations

How they are induced by mass and mixing

(1) if neutrinos have mass

flavor eigenstates
interaction states

mass eigenstate
propagating states

$$|\nu_e\rangle, |\nu_\mu\rangle, |\nu_\tau\rangle \neq |\nu_1\rangle, |\nu_2\rangle, |\nu_3\rangle$$

CC Interactions are not diagonal in the mass basis (as for quarks!)

$$\frac{g}{\sqrt{2}} W_\mu^- \sum_{\alpha,j} (U_{\alpha j} \bar{e}_\alpha \gamma^\mu P_L \nu_j) + \text{h.c.}$$

mixing matrix element

Neutrino Flavor Oscillations

How they are induced by mass and mixing

If neutrinos have mass

flavor eigenstates
interaction states

mass eigenstate
propagating states

$$|\nu_e\rangle, |\nu_\mu\rangle, |\nu_\tau\rangle \neq |\nu_1\rangle, |\nu_2\rangle, |\nu_3\rangle$$

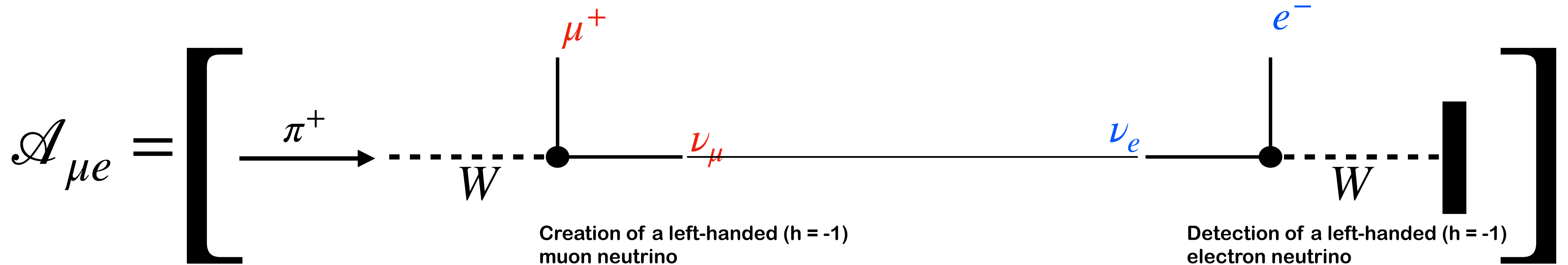
a flavor eigenstate is a superposition of mass eigenstates

$$|\nu_\alpha\rangle = \sum_{j=1}^3 U_{\alpha j}^* |\nu_j\rangle \quad \alpha, \beta = e, \mu, \tau$$

unitary mixing matrix elements

Neutrino Flavor Oscillations

How they are induced by mass and mixing



Neutrino Flavor Oscillations

How they are induced by mass and mixing

$$\mathcal{A}_{\mu e} = \left[\begin{array}{c} \pi^+ \rightarrow \cdots W \cdots \bullet \begin{array}{l} \text{Creation of a left-handed (h = -1)} \\ \text{muon neutrino} \end{array} \xrightarrow{\nu_\mu} \xrightarrow{\nu_e} \bullet \begin{array}{l} \text{Detection of a left-handed (h = -1)} \\ \text{electron neutrino} \end{array} \cdots W \cdots \end{array} \right]$$

$$= \sum_{i=1}^3 \left[\begin{array}{c} \pi^+ \rightarrow \cdots W \cdots \bullet \begin{array}{l} \text{Creation of a left-handed (h = -1)} \\ \text{muon neutrino} \end{array} \xrightarrow{\nu_i} \xrightarrow{\nu_e} \bullet \begin{array}{l} \text{Detection of a left-handed (h = -1)} \\ \text{electron neutrino} \end{array} \cdots W \cdots \end{array} \right]$$

$\mathcal{A}_{ii}$

Neutrino Flavor Oscillations

How they are induced by mass and mixing

$$\begin{aligned}
 \mathcal{A}_{\bar{\mu}e} &= \left[\begin{array}{c} \pi^- \longrightarrow \cdots W \cdots \begin{array}{c} \mu^- \\ | \\ \bullet \end{array} \begin{array}{c} \bar{\nu}_\mu \\ | \\ \text{Creation of a right-handed (h = +1) muon antineutrino} \end{array} \cdots \begin{array}{c} \bar{\nu}_e \\ | \\ \bullet \end{array} \begin{array}{c} e^- \\ | \\ \bullet \end{array} \cdots W \cdots \text{Detection of a right-handed (h = +1) electron antineutrino} \end{array} \right] \\
 &= \sum_{i=1}^3 \left[\begin{array}{c} \pi^- \longrightarrow \cdots W \cdots \begin{array}{c} \mu^- \\ | \\ \bullet \end{array} \begin{array}{c} \bar{\nu}_i \\ | \\ U_{\mu i} \end{array} \cdots \begin{array}{c} \bar{\nu}_i \\ | \\ U_{ei}^* \end{array} \begin{array}{c} e^- \\ | \\ \bullet \end{array} \cdots W \cdots \end{array} \right] \mathcal{A}_{\bar{i}i}
 \end{aligned}$$

Neutrino Flavor Oscillations

How they are induced by mass and mixing

(2) Neutrinos are ultra relativistic

for neutrinos

$$p \gg m \quad \rightarrow \quad E = \sqrt{p^2 + m^2} \simeq p + \frac{m^2}{2p}$$

So ν_i and ν_j with masses m_i and m_j in the same beam ($p_i = p_j$) experience an energy difference

$$\Delta E = E_i - E_j = \frac{m_i^2 - m_j^2}{2p} \simeq \frac{m_i^2 - m_j^2}{2E}$$

because neutrinos have very small masses

this is a very small number

Neutrino Flavor Oscillations

How they are induced by mass and mixing

as we will see the oscillations depend on the combination

$$\frac{m_i^2 - m_j^2}{2E}L = \frac{\Delta m_{ij}^2}{2E}L$$

L = baseline (distance of propagation between production and detection)

this combination can be sizable @ large baselines

Neutrino Flavor Oscillations

How they are induced by mass and mixing

as we will see the oscillations depend on the combination

$$\frac{m_i^2 - m_j^2}{2E}L = \frac{\Delta m_{ij}^2}{2E}L$$

L = baseline (distance of propagation between production and detection)

this combination can be sizable @ large baselines

The observation of oscillations will depend on the Energy of the neutrinos (source) and on the baseline (detector position)

If we know Δm_{ij}^2 we can calculate the appropriate baseline for $\neq$ neutrino sources to observe oscillation

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

@ t=0 neutrino flavor eigenstates are produced as a superposition of mass eigenstates

$$|\nu_{\alpha}(t = 0)\rangle = \sum_{j=1}^3 U_{\alpha j}^* |\nu_j(t = 0)\rangle \quad \alpha = e, \mu \text{ or } \tau$$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

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Neutrino Flavor Oscillations in Vacuum

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The transition amplitude to a different flavor ν_β is

$$\mathcal{A}_{\alpha\beta} = \langle \nu_\beta | \nu_\alpha(t) \rangle$$

Probability of the transition

$$P_{\alpha\beta}(t) = |\mathcal{A}_{\alpha\beta}|^2 = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2$$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

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@ t (after propagating a distance L) they have evolved to

$$|\nu_\alpha(t)\rangle = \sum_{j=1}^3 U_{\alpha j}^* |\nu_j(t)\rangle$$

Probability of the transition

$$P_{\alpha\beta}(t) = \left| \sum_i \sum_j U_{\beta i} U_{\alpha j}^* \langle \nu_i(0) | \nu_j(t) \rangle \right|^2$$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

Under the plane wave approximation

$$|\nu_j(t)\rangle = e^{-iHt} |\nu_j(0)\rangle = e^{-iE_j t} |\nu_j(0)\rangle$$

E_j is the energy of the free streaming neutrino

$$\mathcal{A}_{\alpha\beta}(t) = \sum_i \sum_j U_{\beta i} U_{\alpha j}^* e^{-iE_j t} \langle \nu_i(0) | \nu_j(0) \rangle = \sum_i U_{\beta i} U_{\alpha i}^* e^{-iE_i t} \quad \text{as} \quad \langle \nu_i(0) | \nu_j(0) \rangle = \delta_{ij}$$

Neutrino Flavor Oscillations in Vacuum

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survival/oscillation probability

$$P_{\alpha\beta}(t) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin^2 \left(\frac{\Delta_{kj}}{2} \right) + 2 \sum_{j < k} \text{Im}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin(\Delta_{kj})$$

$$\Delta_{kj} = (E_k - E_j)t$$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

$$P_{\alpha\beta}(t) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin^2 \left(\frac{\Delta_{kj}}{2} \right) + 2 \sum_{j < k} \text{Im}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin(\Delta_{kj})$$

this term conserves CP (same for $\bar{\nu}$)
 $U \rightarrow U^*$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

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this term violates CP (changes sign for $\bar{\nu}$)

Neutrino Flavor Oscillations in Vacuum

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this term conserves CP (same for $\bar{\nu}$)
 $U \rightarrow U^*$

this term violates CP (changes sign for $\bar{\nu}$)

If $\beta = \alpha$ there is no CP violation as $\text{Im}[|U_{\alpha k}|^2 |U_{\alpha j}|^2] = 0$

(no CP violation in disappearance experiments)

CP violation can only manifest if $\beta \neq \alpha$ (appearance experiments)

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

$$P_{\alpha\beta}(t) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin^2 \left(\frac{\Delta_{kj}}{2} \right) + 2 \sum_{j < k} \text{Im}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin(\Delta_{kj})$$

phase differences

ultra-relativistic

mass squared difference

$$\frac{\Delta_{kj}}{2} \equiv \frac{(E_k - E_j) L}{2\hbar c} = \frac{\Delta m_{kj}^2 c^4}{4E \hbar c} = 1.27 \left(\frac{\Delta m_{kj}^2}{\text{eV}^2} \right) \left(\frac{\text{GeV}}{E} \right) \left(\frac{L}{\text{km}} \right) \quad \Delta m_{kj}^2 = m_k^2 - m_j^2$$

[only here we do not use natural units]

this is useful for calculations

$$\frac{\Delta_{kj}}{2} \equiv \frac{\Delta m_{kj}^2}{4E} L$$

(back to natural units)

- only depend on mass squared differences (no sensitivity to the absolute mass scale)
- neutrino energy (source) & baseline (source-detector)

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

$$P_{\alpha\beta}(t) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin^2 \left(\frac{\Delta_{kj}}{2} \right) + 2 \sum_{j < k} \text{Im}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin(\Delta_{kj})$$

phase differences

ultra-relativistic

$$\frac{\Delta_{kj}}{2} \equiv \frac{\Delta m_{kj}^2}{4E} L = \pi \frac{L}{L_{\odot}} \quad \Delta m_{kj}^2 = m_k^2 - m_j^2$$

oscillation length

• We can define

$$L_{\odot}^{kj} = \frac{4\pi E}{\Delta m_{kj}^2}$$

Neutrino Flavor Oscillations in Vacuum

Basic Concepts

$$P_{\alpha\beta}(t) = \delta_{\alpha\beta} - 4 \sum_{j < k} \text{Re}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin^2 \left(\frac{\Delta_{kj}}{2} \right) + 2 \sum_{j < k} \text{Im}[U_{\beta k}^* U_{\alpha k} U_{\beta j} U_{\alpha j}^*] \sin(\Delta_{kj})$$

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oscillation length

$$L_{\odot}^{kj} = \frac{4\pi E}{\Delta m_{kj}^2}$$

• We can define

- if $L \ll L_{\odot}^{kj}$ the distance is too short for this phase difference to develop - no oscillation can be observed due to it
- if $L \gg L_{\odot}^{kj}$ the distance is long enough for this phase for the system to oscillate many times $\overline{\sin^2 \left(\frac{\Delta_{kj}}{2} \right)} \rightarrow \frac{1}{2}$ $\overline{\sin(\Delta_{kj})} \rightarrow 0$
- only for $L \approx L_{\odot}^{kj}$ we can see the oscillation pattern due to this phase difference

Two Flavor Oscillations in Vacuum

simplest but still very useful case

$$\begin{pmatrix} |\nu_\alpha\rangle \\ |\nu_\beta\rangle \end{pmatrix} = U \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \end{pmatrix} \quad U \text{ is real}$$

only one phase difference $\Delta m_{21}^2 = m_2^2 - m_1^2$ only oscillation length $L_\odot = \frac{4\pi E}{\Delta m_{21}^2}$

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$$\Delta m_{21}^2 = 2.5 \times 10^{-3} \text{ eV}^2$$

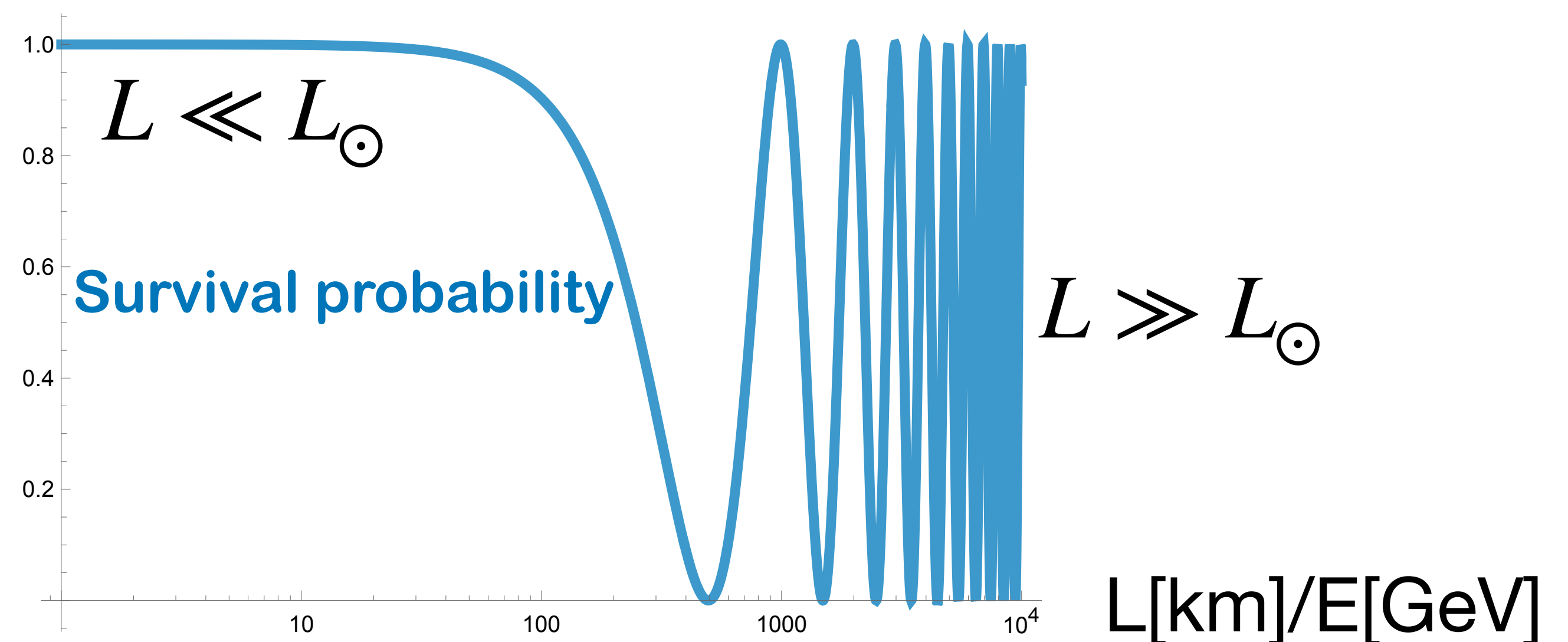
$$\sin^2(2\theta) = 1.0$$

Oscillation probability

$$P_{\alpha\beta}(t) = \sin^2(2\theta) \sin^2\left(\pi \frac{L}{L_\odot}\right)$$

Survival probability

$$P_{\alpha\alpha}(t) = 1 - \sin^2(2\theta) \sin^2\left(\pi \frac{L}{L_\odot}\right)$$



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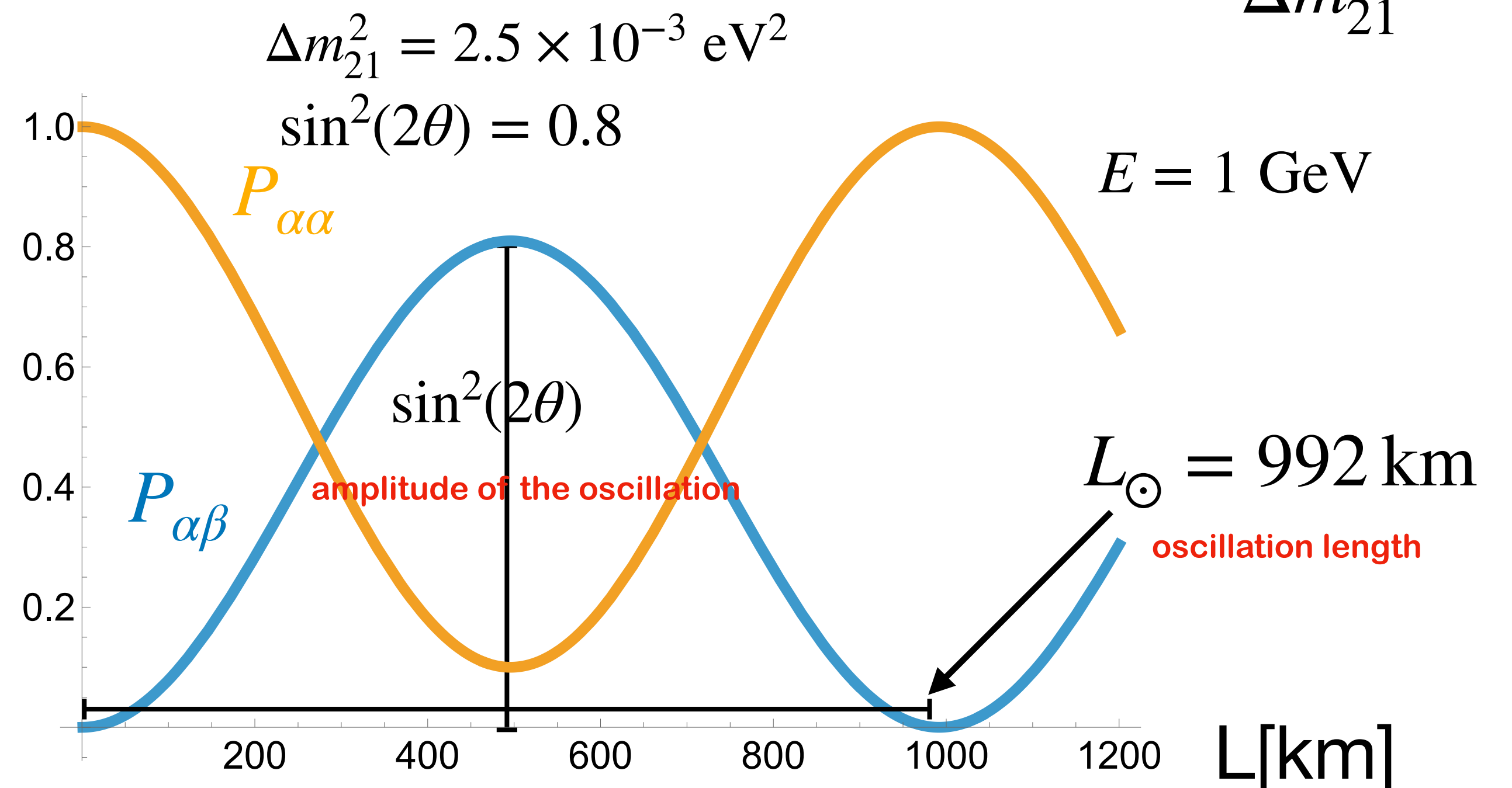
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Three Flavor Oscillations in Vacuum

We have 3 flavors in nature

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = U^* \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix}$$

Unitary Matrix

$$UU^\dagger = I \implies \sum_{j=1}^3 U_{\alpha j} U_{\beta j}^* = \delta_{\alpha\beta} \quad \sum_{\alpha=e}^{\tau} U_{\alpha j} U_{\alpha k}^* = \delta_{jk}$$

$$U = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu1} & U_{\mu2} & U_{\mu3} \\ U_{\tau1} & U_{\tau2} & U_{\tau3} \end{pmatrix} V$$

Majorana Phases

Three Flavor Oscillations in Vacuum

We have 3 flavors in nature

$$\begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = U^* \begin{pmatrix} |\nu_1\rangle \\ |\nu_2\rangle \\ |\nu_3\rangle \end{pmatrix} \quad UU^\dagger = I \quad \Rightarrow \quad \sum_{j=1}^3 U_{\alpha j} U_{\beta j}^* = \delta_{\alpha\beta} \quad \sum_{\alpha=e}^3 U_{\alpha j} U_{\alpha k}^* = \delta_{jk}$$

Unitary Matrix

$$U = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} V$$

Majorana Phases

3 mixing angles + 1 phase

$$c_{ij} \equiv \cos \theta_{ij} \quad s_{ij} \equiv \sin \theta_{ij} \quad \theta_{ij} \in [0, \pi/2] \quad \delta \in [0, 2\pi]$$

$$V = \text{diag}(\alpha_1, 1, \alpha_3) \quad \text{do not enter oscillation}$$

Oscillations are the same for DIRAC & MAJORANA neutrinos !

Three Flavor Oscillations in Vacuum

We have 3 flavors in nature

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$$V = \text{diag}(\alpha_1, 1, \alpha_3)$$

$$\Delta m_{31}^2 = \Delta m_{32}^2 - \Delta m_{21}^2$$

only two independent

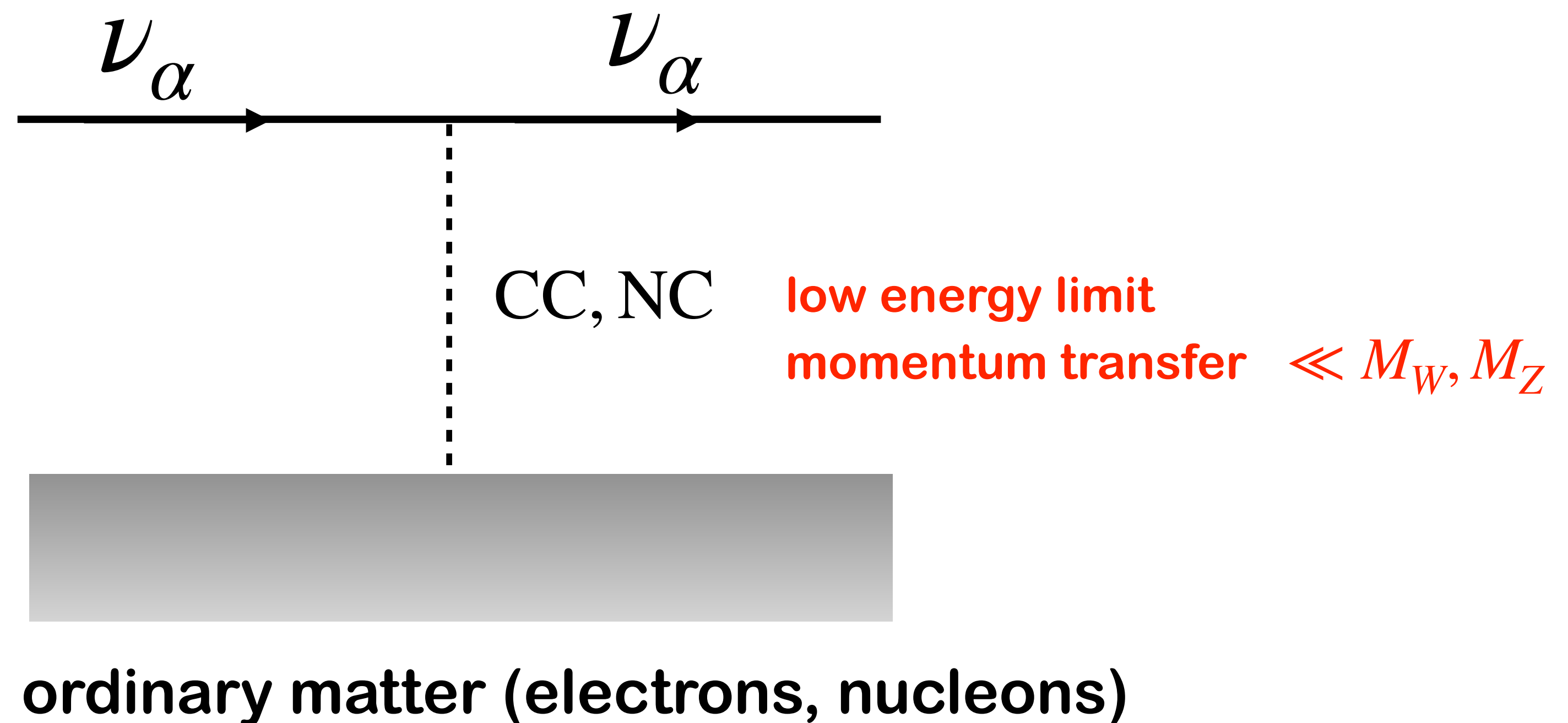
Neutrino Flavor Oscillations in Matter

Basic Concepts

incoherent processes (capture, finite angle scattering) $\sigma \propto G_F^2$

coherent forward scattering effects $\propto G_F$ (a lot larger!)

they lead to a tiny effective potential for neutrinos in matter

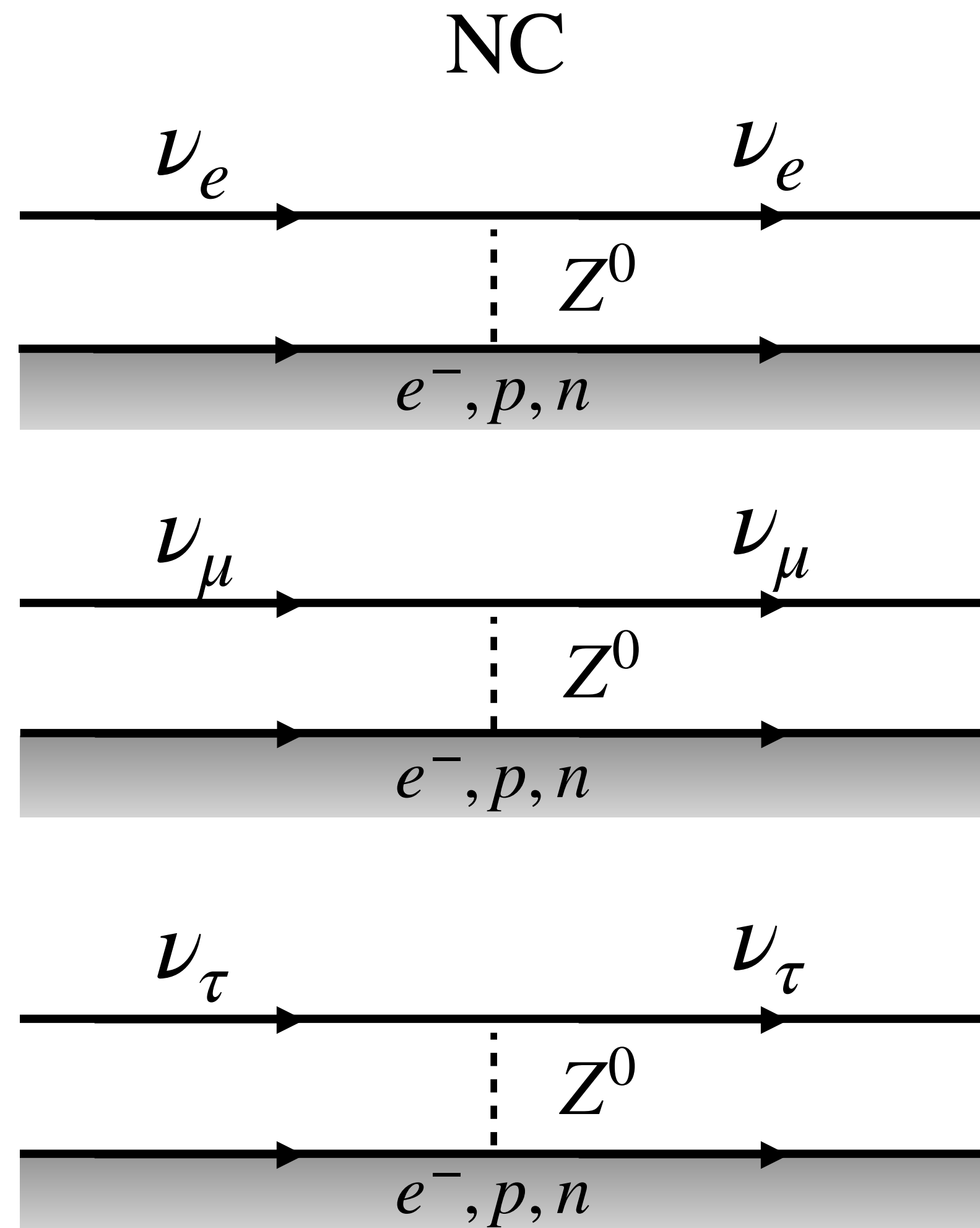


[L. Wolfenstein (1978)]

[S. Mikheyev and A. Yu Smirnov (1985)]

Neutrino Flavor Oscillations in Matter

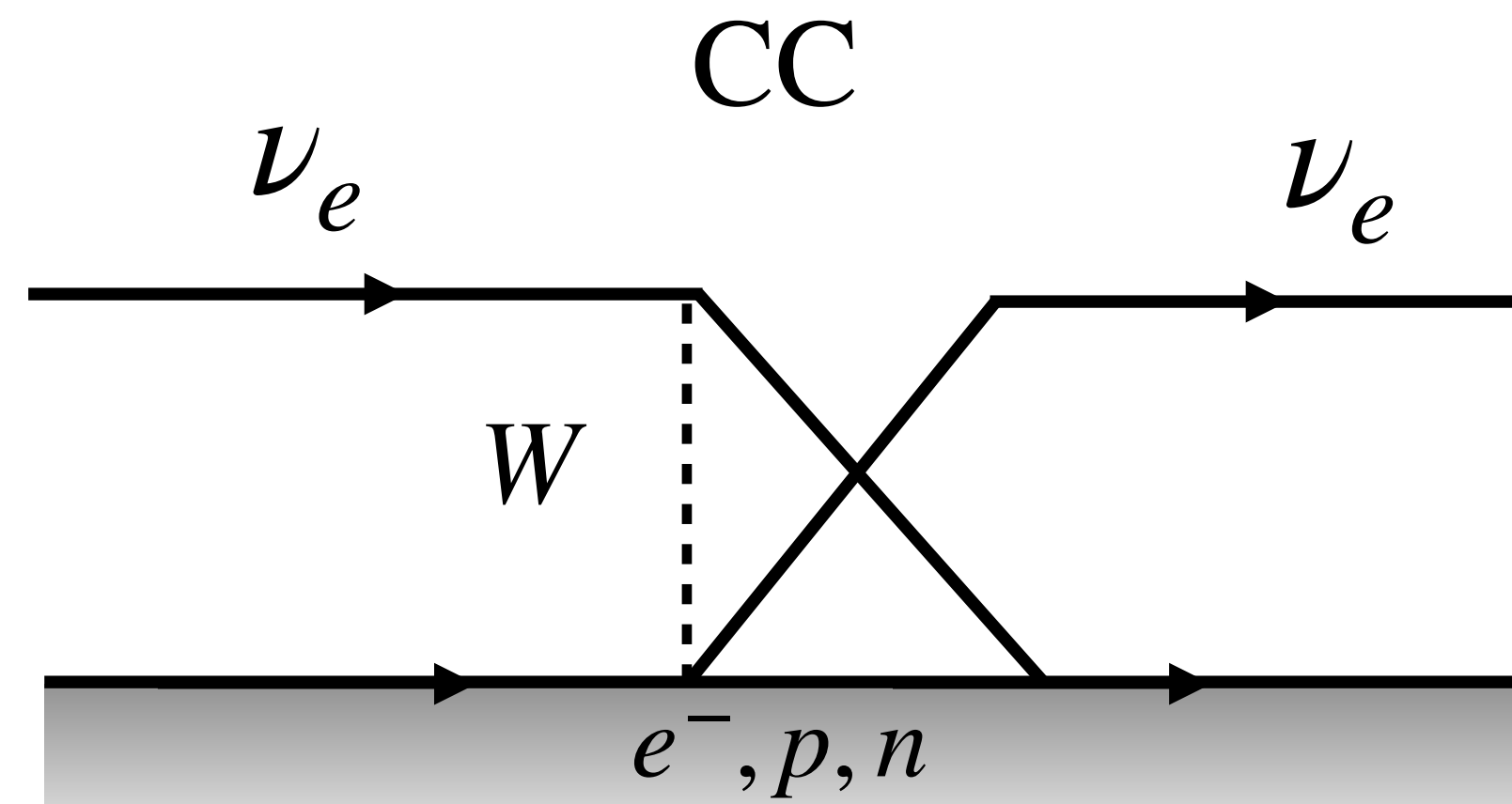
Basic Concepts



affects all neutrinos in the same way
gives rise to an unobservable common
phase

Neutrino Flavor Oscillations in Matter

Basic Concepts



singles out ν_e

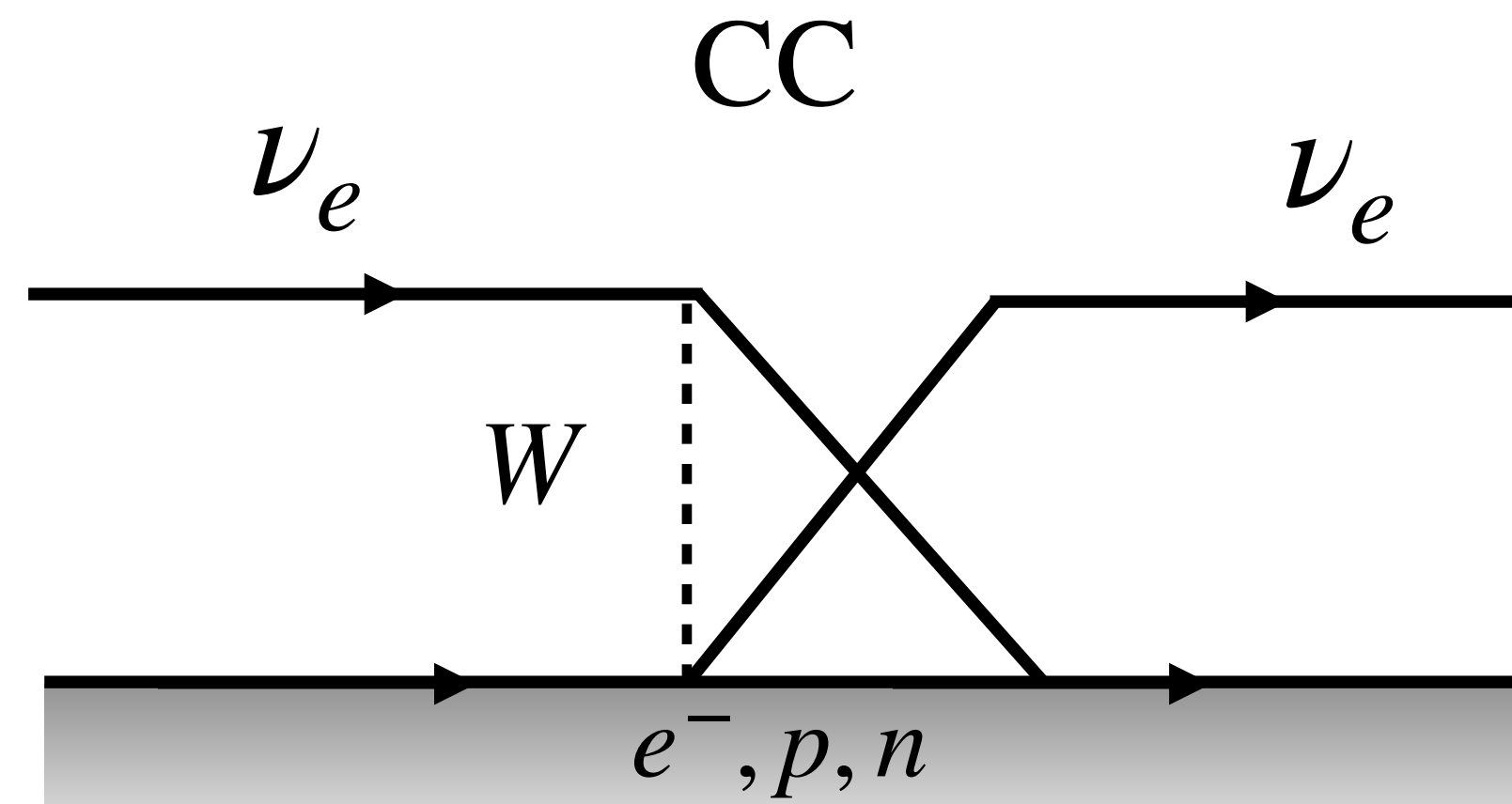
for a homogeneous and isotropic medium
(Earth, Sun)

$$V_{cc}(r) = \sqrt{2} G_F n_e(r)$$

electron number density

Neutrino Flavor Oscillations in Matter

Basic Concepts



for a homogeneous and isotropic medium
(Earth, Sun)

$$V_{cc}(r) = \sqrt{2} G_F n_e(r)$$

electron number density

singles out ν_e

and $\bar{\nu}_e$: $V_{cc}(r) \rightarrow -V_{cc}(r)$

gives rise to an extra phase difference among the flavors

Neutrino Flavor Oscillations in Matter

order of magnitude of the effect

$$V_{\text{cc}}(r) = \sqrt{2} G_F n_e(r) \simeq 7.6 \left(\overset{\text{electron fraction}}{\frac{n_e}{n_p + n_n}} \right) \left(\overset{\text{matter density}}{\frac{\rho}{\text{g/cm}^3}} \right) \times 10^{-14} \text{ eV}$$

electron fraction $\sim \frac{1}{2}$

$$\rho = 3 \text{ g/cm}^3 \implies V_{\text{cc}} \sim 1 \times 10^{-13} \text{ eV}$$

$$\rho = 10 \text{ g/cm}^3 \implies V_{\text{cc}} \sim 4 \times 10^{-13} \text{ eV}$$

$$\rho = 100 \text{ g/cm}^3 \implies V_{\text{cc}} \sim 4 \times 10^{-12} \text{ eV}$$

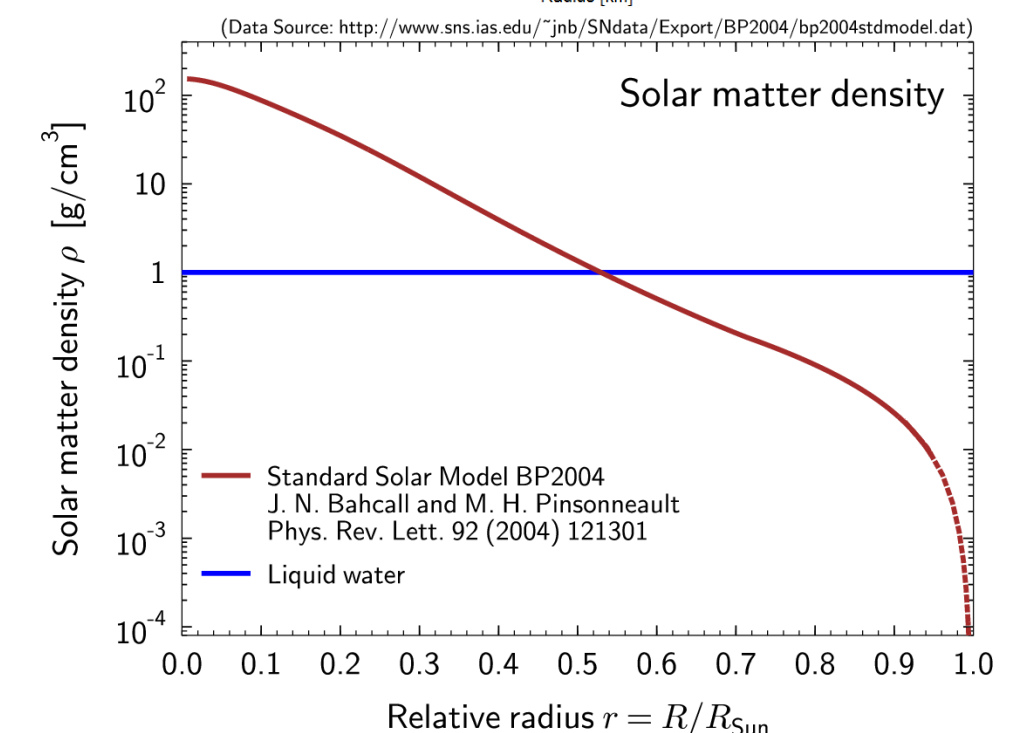
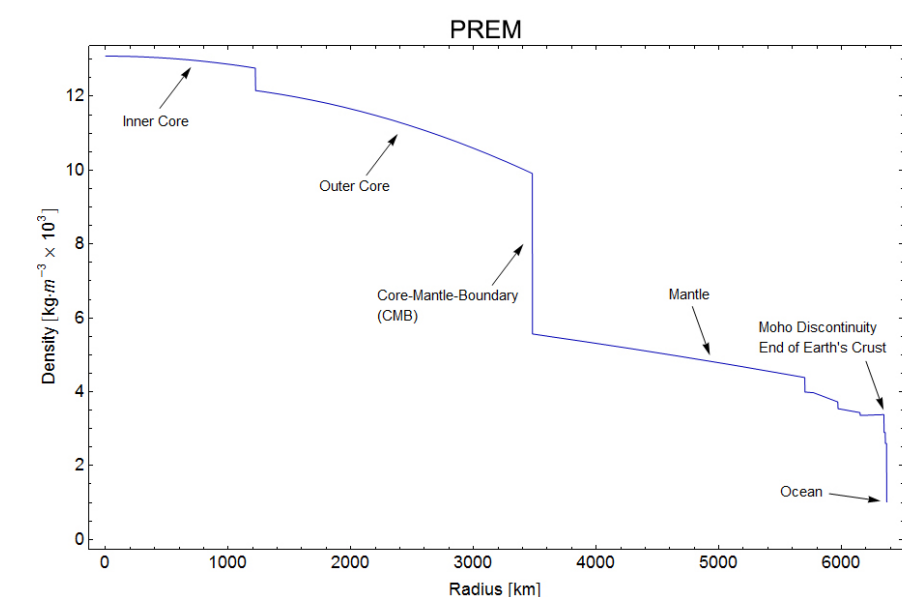
$$\rho = 10^{14} \text{ g/cm}^3 \implies V_{\text{cc}} \sim 4 \text{ eV}$$

Earth's crust

Earth's core

Sun's core

Supernova



Neutrino Flavor Oscillations in Matter

For 3 flavors

We have to solve the Schrödinger evolution equation

$$i \frac{d}{dr} \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix} = H \begin{pmatrix} |\nu_e\rangle \\ |\nu_\mu\rangle \\ |\nu_\tau\rangle \end{pmatrix}$$

for the Hamiltonian

$$H(r) = \underbrace{\frac{1}{2E} U \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix} U^\dagger}_{\text{vacuum contribution}} + \underbrace{\begin{pmatrix} V_{\text{cc}}(r) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}}_{\text{matter contribution (dynamics)}}$$

Neutrino Flavor Oscillations in Matter

For 3 flavors

It is useful to write this like this

$$H(r) = \frac{1}{2E} \left[U \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A_{cc}(r) & & \\ & 0 & 0 \\ & 0 & 0 \end{pmatrix} \right]$$

Neutrino Flavor Oscillations in Matter

For 3 flavors

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$$H(r) = \frac{1}{2E} \left[U \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A_{cc}(r) & & \\ & 0 & 0 \\ & 0 & 0 \end{pmatrix} \right]$$

$$\Delta m^2 \sim 2 \times 10 \text{ MeV} \times 4 \times 10^{-12} \text{ eV} \sim 8 \times 10^{-5} \text{ eV}^2 \quad \text{10 MeV } \nu \text{ @ Sun's core}$$

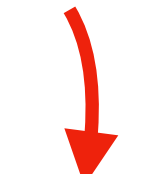
$$\Delta m^2 / (2EV_{cc}) \sim \mathcal{O}(1)$$

$$\Delta m^2 \sim 2 \times 10 \text{ GeV} \times 10^{-13} \text{ eV} \sim 2 \times 10^{-3} \text{ eV}^2 \quad \text{10 GeV } \nu \text{ @ Earth's crust}$$

Neutrino Flavor Oscillations in Matter

For 3 flavors

This Hamiltonian can be diagonal at each point r of the evolution

$$H(r) = \frac{1}{2E} \left[U \begin{pmatrix} m_1^2 & & \\ & m_2^2 & \\ & & m_3^2 \end{pmatrix} U^\dagger + \begin{pmatrix} A_{\text{cc}}(r) & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \right] \text{instantaneous mass eigenstates}$$
$$= \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & & \\ & \tilde{m}_2^2(r) & \\ & & \tilde{m}_3^2(r) \end{pmatrix} \widetilde{U}^\dagger(r) \begin{pmatrix} |\nu_e(r)\rangle \\ |\nu_\mu(r)\rangle \\ |\nu_\tau(r)\rangle \end{pmatrix} = \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1(r)\rangle \\ |\widetilde{\nu}_2(r)\rangle \\ |\widetilde{\nu}_3(r)\rangle \end{pmatrix}$$


Neutrino Flavor Oscillations in Matter

For 3 flavors

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Neutrino Flavor Oscillations in Matter

For 3 flavors

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but there are useful
analytic solutions

$dA_{cc}(r)/dr = 0$ **constant matter density**

$dA_{cc}(r)/dr$ **is small**

i.e. adiabatic transition

we will discuss
them for 2 flavors

Neutrino Flavor Oscillations in Constant Matter

For 2 flavors

$$i \frac{d}{dr} \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + V_{cc} & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} \quad \Delta m^2 = \Delta m_{21}^2$$

in constant matter density

mixing in matter

$$\widetilde{U} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix}$$

$$|\nu_e\rangle = \cos \theta_m |\widetilde{\nu}_1\rangle + \sin \theta_m |\widetilde{\nu}_2\rangle$$

$$|\nu_x\rangle = -\sin \theta_m |\widetilde{\nu}_1\rangle + \cos \theta_m |\widetilde{\nu}_2\rangle$$

Neutrino Flavor Oscillations in Constant Matter

For 2 flavors

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in constant matter density

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$$\widetilde{U} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix}$$

$$|\nu_e\rangle = \cos \theta_m |\widetilde{\nu}_1\rangle + \sin \theta_m |\widetilde{\nu}_2\rangle$$

$$|\nu_x\rangle = -\sin \theta_m |\widetilde{\nu}_1\rangle + \cos \theta_m |\widetilde{\nu}_2\rangle$$

mass squared splitting in matter

$$\Delta \widetilde{m}^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2E V_{cc})^2 + (\Delta m^2 \sin 2\theta)^2}$$

$$\sin^2 \theta_m = \frac{1}{2} \left(1 + \frac{(2E V_{cc} - \Delta m^2 \cos 2\theta)}{\Delta \widetilde{m}^2} \right)$$

if $2E V_{cc} \gg \Delta m^2 \cos 2\theta$

$$\sin^2 \theta_m \rightarrow 1 \quad |\nu_\alpha\rangle \rightarrow |\widetilde{\nu}_2\rangle$$

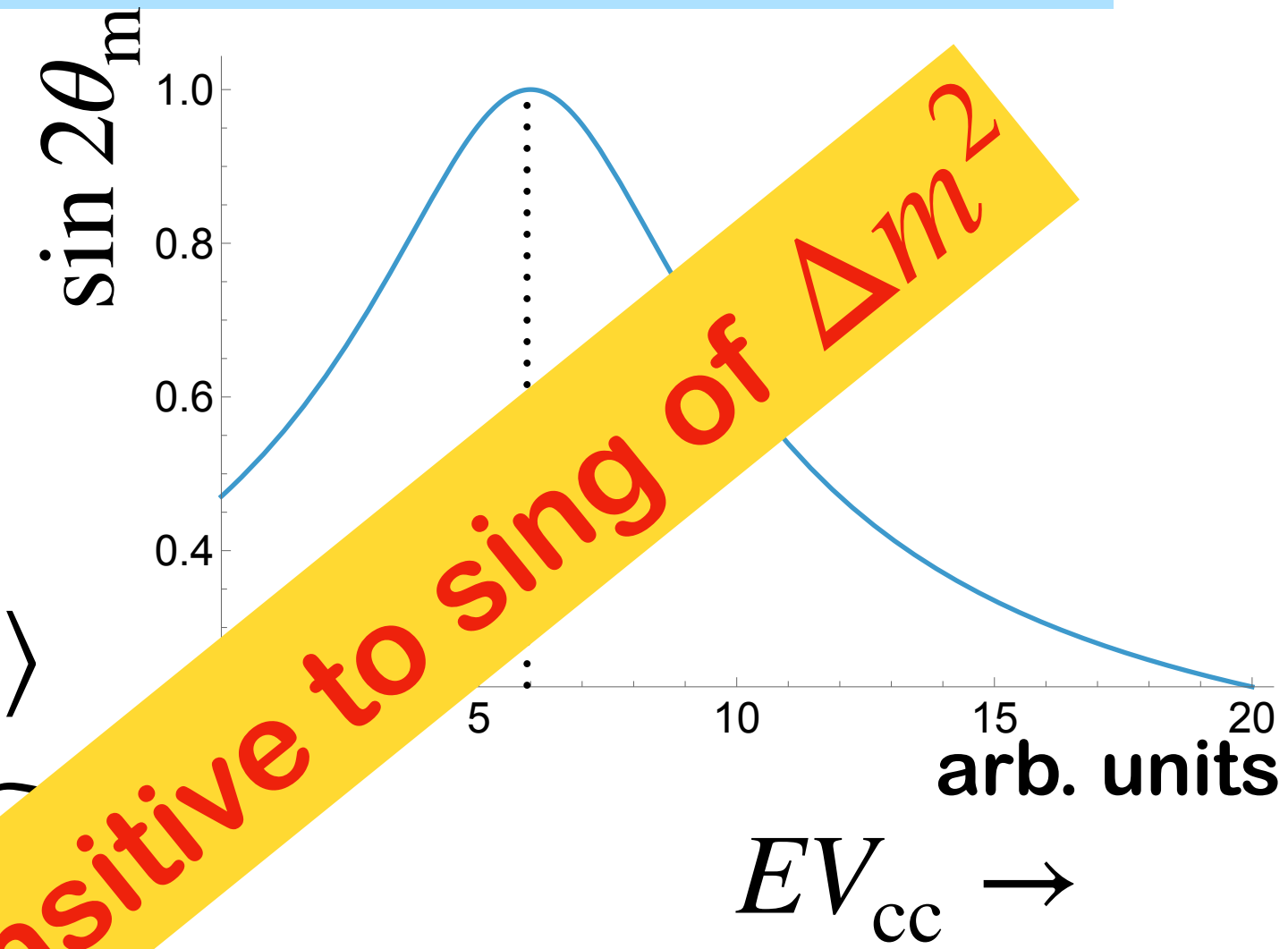
if $2E V_{cc} \ll \Delta m^2 \cos 2\theta$

we recover vacuum solution

Neutrino Flavor Oscillations in Constant Matter

For 2 flavors

$$i \frac{d}{dr} \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} = \begin{pmatrix} -\frac{\Delta m^2}{4E} \cos 2\theta + V_{cc} & \frac{\Delta m^2}{4E} \sin 2\theta \\ \frac{\Delta m^2}{4E} \sin 2\theta & \frac{\Delta m^2}{4E} \cos 2\theta \end{pmatrix} \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix}$$



mixing in matter

$$\widetilde{U} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix} \quad \begin{aligned} |\nu_e\rangle &= \cos \theta_m |\widetilde{\nu}_1\rangle + \sin \theta_m |\widetilde{\nu}_2\rangle \\ |\nu_x\rangle &= -\sin \theta_m |\widetilde{\nu}_1\rangle + \cos \theta_m |\widetilde{\nu}_2\rangle \end{aligned}$$

mass squared splitting in matter

$$\Delta \widetilde{m}^2 = \sqrt{(\Delta m^2 \cos 2\theta - 2E V_{cc})^2 + (\Delta m^2 \sin 2\theta)^2}$$

mixing in matter

$$\sin 2\theta_m = \frac{\sin 2\theta}{\sqrt{(\cos 2\theta - 2EV_{cc}/\Delta m^2)^2 + \sin^2 2\theta}}$$

MSW resonance condition

$$\Delta m^2 \cos 2\theta = 2E V_{cc}$$

maximal mixing in matter

$$\theta_m = 45^\circ$$

happens either if $\Delta m^2, \cos 2\theta > 0$ or $\Delta m^2, \cos 2\theta < 0$

Adiabatic Transition in Matter

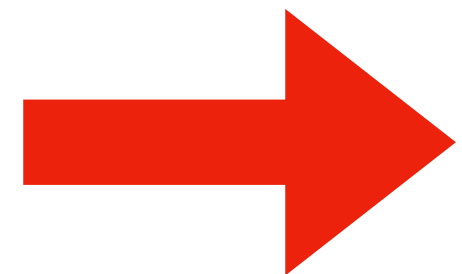
For 2 flavors

$$i\frac{d}{dr}\begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} = H \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} \quad H = \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \widetilde{U}^\dagger(r)$$
$$\begin{pmatrix} |\nu_e(r)\rangle \\ |\nu_x(r)\rangle \end{pmatrix} = \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1(r)\rangle \\ |\widetilde{\nu}_2(r)\rangle \end{pmatrix}$$

Adiabatic Transition in Matter

For 2 flavors

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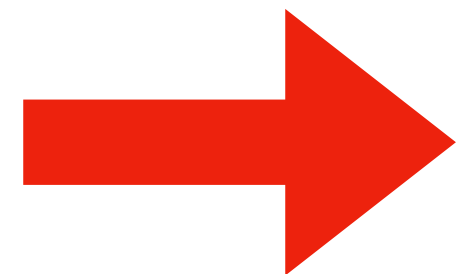


$$i\frac{d}{dr} \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} = \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \widetilde{U}^\dagger(r) \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix}$$

Adiabatic Transition in Matter

For 2 flavors

$$i\frac{d}{dr}\begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} = H \begin{pmatrix} |\nu_e\rangle \\ |\nu_x\rangle \end{pmatrix} \quad H = \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \widetilde{U}^\dagger(r)$$
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$$i\frac{d}{dr} \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} = \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \widetilde{U}^\dagger(r) \widetilde{U}(r) \begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix}$$

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Adiabatic Transition in Matter

For 2 flavors

$$i\frac{d}{dr}\widetilde{U}(r)\begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} = \frac{1}{2E}\widetilde{U}(r)\begin{pmatrix} \widetilde{m}_1^2(r) & \\ & \widetilde{m}_2^2(r) \end{pmatrix}\begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} \quad (1)$$

slowly varying matter density (Sun)

$$\text{if } \frac{d}{dr}\widetilde{U}(r) \simeq 0 \quad i\frac{d}{dr}\widetilde{U}(r)\begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} \simeq i\widetilde{U}(r)\frac{d}{dr}\begin{pmatrix} |\widetilde{\nu}_1\rangle \\ |\widetilde{\nu}_2\rangle \end{pmatrix} \quad (2)$$

Adiabatic Transition in Matter

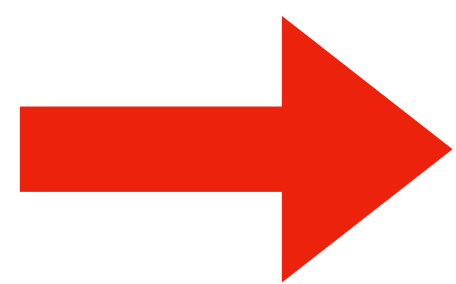
For 2 flavors

$$i \frac{d}{dr} \widetilde{U}(r) \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix} = \frac{1}{2E} \widetilde{U}(r) \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix} \quad (1)$$

slowly varying matter density (Sun)

$$\text{if } \frac{d}{dr} \widetilde{U}(r) \simeq 0 \quad i \frac{d}{dr} \widetilde{U}(r) \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix} \simeq i \widetilde{U}(r) \frac{d}{dr} \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix} \quad (2)$$

$\widetilde{U}(r)^\dagger \times$ (1) using (2)



$$i \frac{d}{dr} \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix} = \frac{1}{2E} \begin{pmatrix} \tilde{m}_1^2(r) & \\ & \tilde{m}_2^2(r) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1\rangle \\ |\tilde{\nu}_2\rangle \end{pmatrix}$$

Equations decouple !

Mass eigenstates evolve independently

They do not mix!

Adiabatic Transition in Matter

For 2 flavors

Mass eigenstates only get a phase during propagation

$$|\tilde{\nu}_1\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_1^2(r)}{2E} \right) dr} \phi_1/2E |\tilde{\nu}_1\rangle(r_i) \quad |\tilde{\nu}_2\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_2^2(r)}{2E} \right) dr} \phi_2/2E |\tilde{\nu}_2\rangle(r_i)$$

Adiabatic Transition in Matter

For 2 flavors

Mass eigenstates only get a phase during propagation

$$|\tilde{\nu}_1\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_1^2(r)}{2E} \right) dr} \phi_1/2E |\tilde{\nu}_1\rangle(r_i) \quad |\tilde{\nu}_2\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_2^2(r)}{2E} \right) dr} \phi_2/2E |\tilde{\nu}_2\rangle(r_i)$$

$$\begin{pmatrix} |\nu_e(r_i)\rangle \\ |\nu_x(r_i)\rangle \end{pmatrix} = \tilde{U}(r_i) \begin{pmatrix} |\tilde{\nu}_1(r_i)\rangle \\ |\tilde{\nu}_2(r_i)\rangle \end{pmatrix} = \begin{pmatrix} \cos \tilde{\theta}(r_i) & \sin \tilde{\theta}(r_i) \\ -\sin \tilde{\theta}(r_i) & \cos \tilde{\theta}(r_i) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1(r_i)\rangle \\ |\tilde{\nu}_2(r_i)\rangle \end{pmatrix}$$

$$\begin{pmatrix} |\nu_e(r_f)\rangle \\ |\nu_x(r_f)\rangle \end{pmatrix} = \tilde{U}(r_f) \begin{pmatrix} |\tilde{\nu}_1(r_f)\rangle \\ |\tilde{\nu}_2(r_f)\rangle \end{pmatrix} = \begin{pmatrix} \cos \tilde{\theta}(r_f) & \sin \tilde{\theta}(r_f) \\ -\sin \tilde{\theta}(r_f) & \cos \tilde{\theta}(r_f) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1(r_f)\rangle \\ |\tilde{\nu}_2(r_f)\rangle \end{pmatrix}$$

Flavor eigenstates @ the production point

Flavor eigenstates @ the end of evolution

Adiabatic Transition in Matter

For 2 flavors

Mass eigenstates only get a phase during propagation

$$|\tilde{\nu}_1\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_1^2(r)}{2E} \right) dr} \phi_1/2E |\tilde{\nu}_1\rangle(r_i) \quad |\tilde{\nu}_2\rangle(r_f) = e^{-i \int_{r_i}^{r_f} \left(\frac{\tilde{m}_2^2(r)}{2E} \right) dr} \phi_2/2E |\tilde{\nu}_2\rangle(r_i)$$

$$\begin{pmatrix} |\nu_e(r_i)\rangle \\ |\nu_x(r_i)\rangle \end{pmatrix} = \tilde{U}(r_i) \begin{pmatrix} |\tilde{\nu}_1(r_i)\rangle \\ |\tilde{\nu}_2(r_i)\rangle \end{pmatrix} = \begin{pmatrix} \cos \tilde{\theta}(r_i) & \sin \tilde{\theta}(r_i) \\ -\sin \tilde{\theta}(r_i) & \cos \tilde{\theta}(r_i) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1(r_i)\rangle \\ |\tilde{\nu}_2(r_i)\rangle \end{pmatrix} \quad \text{Flavor eigenstates @ the production point}$$

$$\begin{pmatrix} |\nu_e(r_f)\rangle \\ |\nu_x(r_f)\rangle \end{pmatrix} = \tilde{U}(r_f) \begin{pmatrix} |\tilde{\nu}_1(r_f)\rangle \\ |\tilde{\nu}_2(r_f)\rangle \end{pmatrix} = \begin{pmatrix} \cos \tilde{\theta}(r_f) & \sin \tilde{\theta}(r_f) \\ -\sin \tilde{\theta}(r_f) & \cos \tilde{\theta}(r_f) \end{pmatrix} \begin{pmatrix} |\tilde{\nu}_1(r_f)\rangle \\ |\tilde{\nu}_2(r_f)\rangle \end{pmatrix} \quad \text{Flavor eigenstates @ the end of evolution}$$

$$= \begin{pmatrix} \cos \tilde{\theta}(r_f) & \sin \tilde{\theta}(r_f) \\ -\sin \tilde{\theta}(r_f) & \cos \tilde{\theta}(r_f) \end{pmatrix} \begin{pmatrix} e^{-i\phi_1/2E} & 0 \\ 0 & e^{-i\phi_2/2E} \end{pmatrix} \begin{pmatrix} \cos \tilde{\theta}(r_i) & -\sin \tilde{\theta}(r_i) \\ \sin \tilde{\theta}(r_i) & \cos \tilde{\theta}(r_i) \end{pmatrix} \begin{pmatrix} |\nu_e(r_i)\rangle \\ |\nu_x(r_i)\rangle \end{pmatrix}$$

Adiabatic Transition in Matter

For 2 flavors

Survival Propability of ν_e

$$P_{ee} = |\mathcal{A}_{ee}|^2 = |\langle \nu_e(r_f) | \nu_e(r_i) \rangle|^2 = |\cos \tilde{\theta}(r_f) \cos \tilde{\theta}(r_i) + e^{-i\frac{(\phi_2 - \phi_1)}{2E}} \sin \tilde{\theta}(r_f) \sin \tilde{\theta}(r_i)|^2$$

the interference term average out

$$\Delta\phi \gg E \quad [\text{S. Parke (1986)}]$$

for solar neutrinos

$$P_{ee} \simeq \cos^2 \theta_{12} \cos^2 \tilde{\theta}(r_i) + \sin^2 \theta_{12} \sin^2 \tilde{\theta}(r_i)$$

vacuum value @ the exit of the Sun

How about the Mass Ordering?

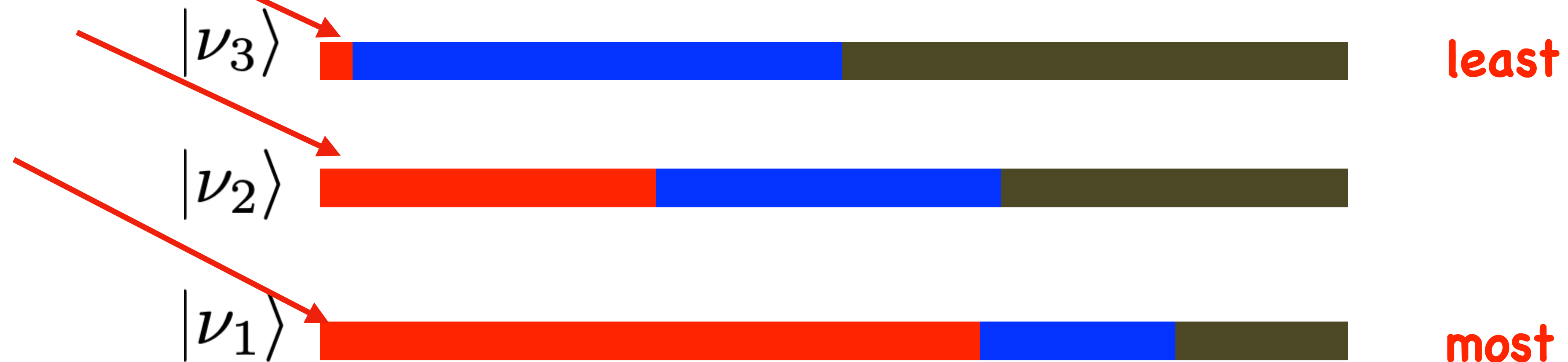
How can we Label the Mass Eigentates?

Using data results

using $|\nu_e\rangle$ fraction

we know that (see Lecture II)

$$|U_{e1}| > |U_{e2}| > |U_{e3}| \quad [\sin^2 \theta_{12} \simeq 0.3]$$



ν_e 

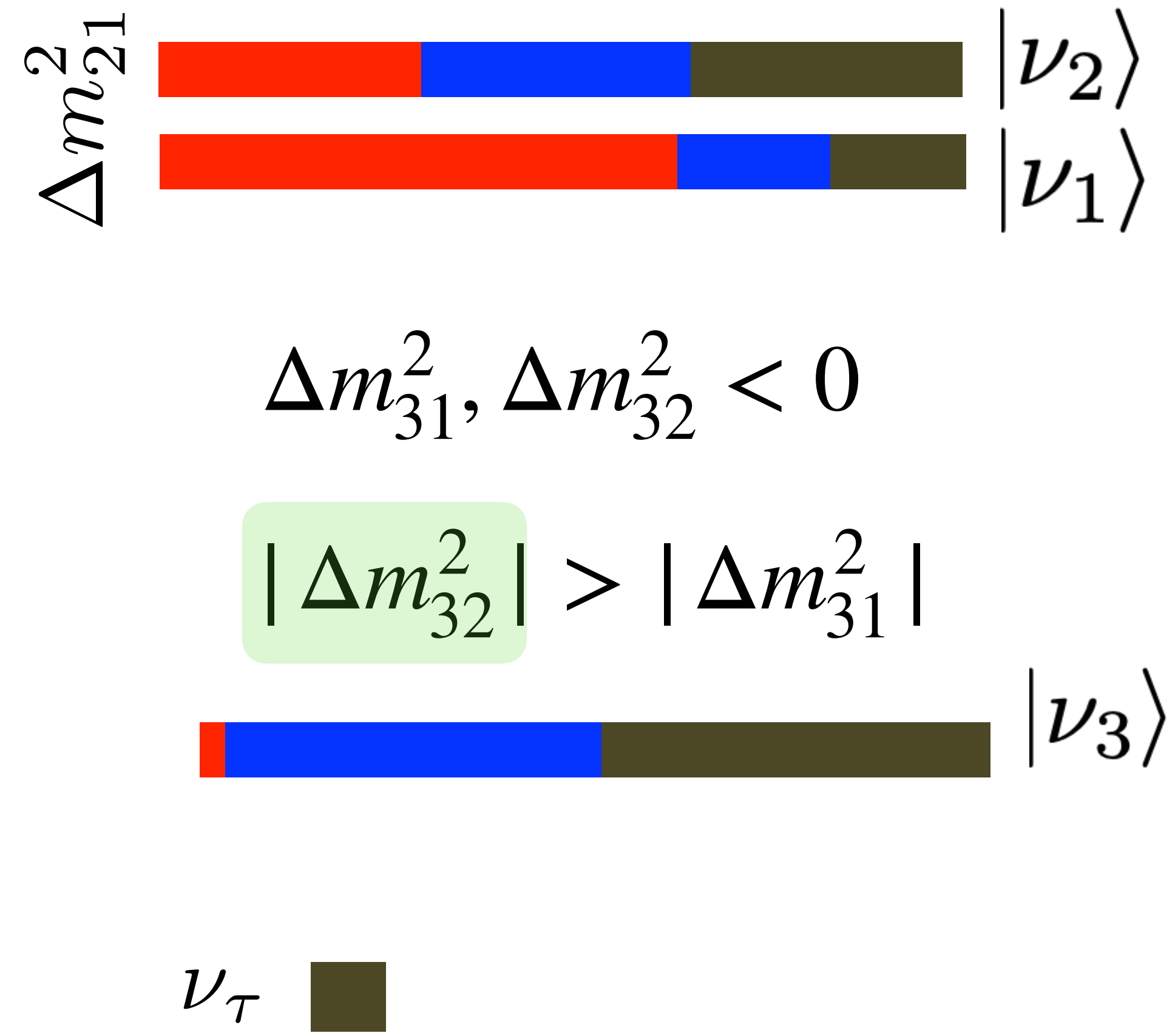
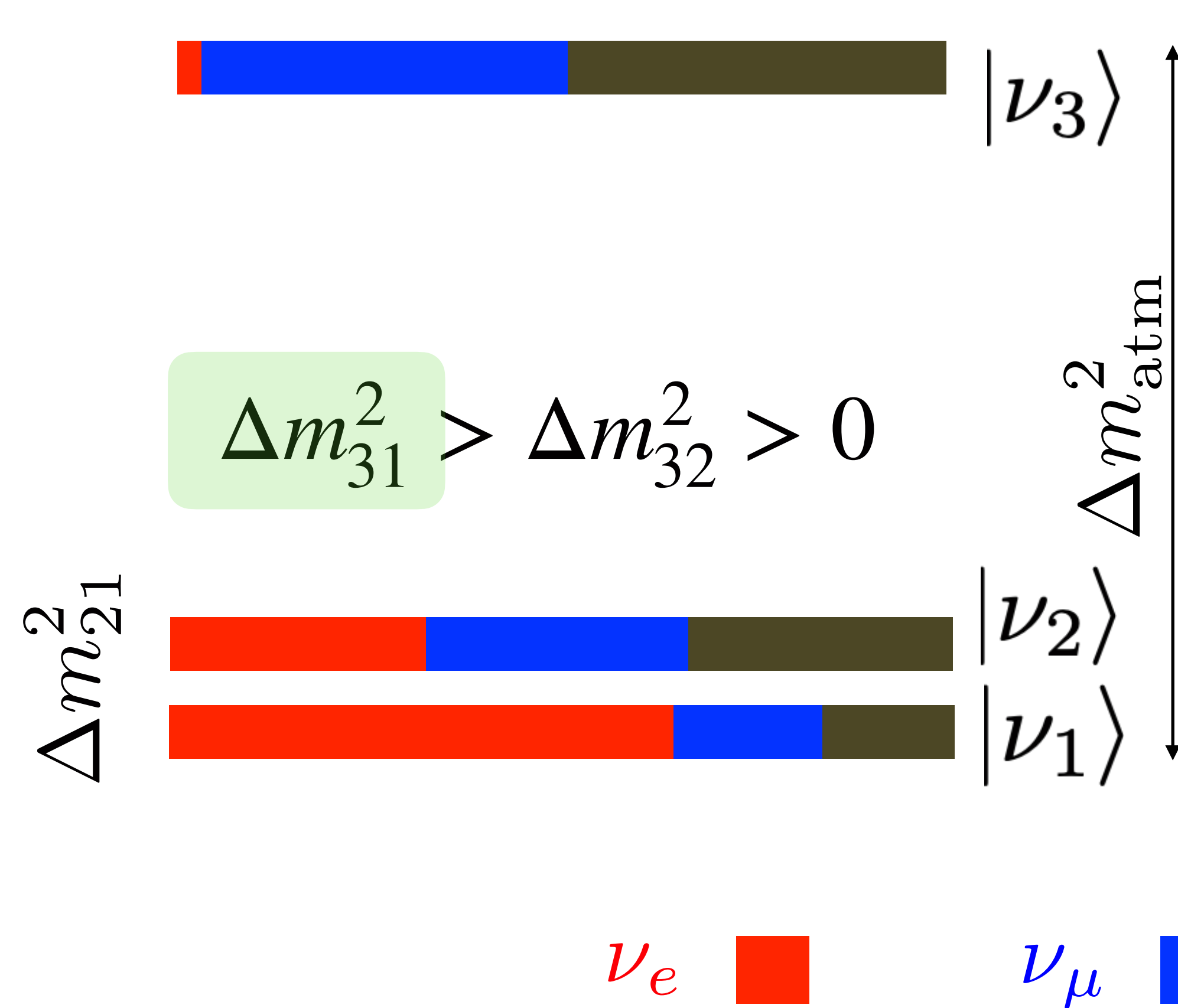
ν_μ 

ν_τ 

What is the neutrino mass Ordering?

We currently have two possibilities

normal ordering



inverted ordering

Effect Δm_{atm}^2 - scale For Disappearance Experiments

[H. Nunokawa, S. J. Parke, RZF (2005)]

- for experiments where $\Delta_{21} \equiv \frac{\Delta m_{21}^2 L}{4E} \ll 1$
in vacuum

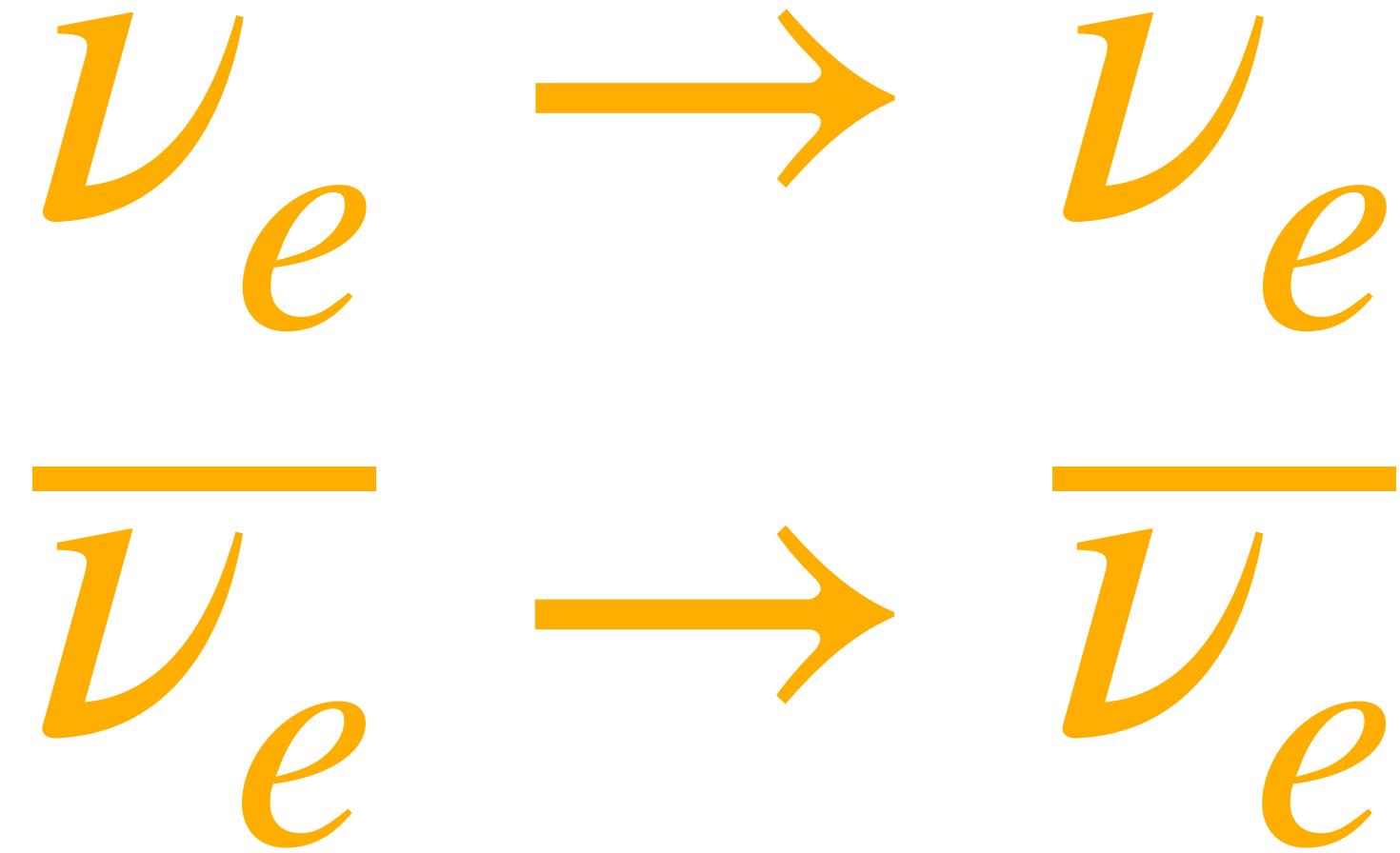
$$P_{\nu_e \rightarrow \nu_e} = 1 - \sin^2 2\theta_{13} \sin^2 \Delta_{ee} + \mathcal{O}(\Delta_{21}^2)$$

$$\Delta m_{ee}^2 \equiv \Delta m_{31}^2 \cos^2 \theta_{12} + \Delta m_{32}^2 \sin^2 \theta_{21}$$

$$\Delta m_{31}^2 |_e^{\text{NO}} = |\Delta m_{ee}^2| + \Delta m_{21}^2 \sin^2 \theta_{12}$$

$$|\Delta m_{32}^2 |_e^{\text{IO}} = |\Delta m_{ee}^2| + \Delta m_{21}^2 \cos^2 \theta_{12}$$

$$|\Delta m_{32}^2 |_e^{\text{IO}} - \Delta m_{31}^2 |_e^{\text{NO}} = \Delta m_{21}^2 \cos 2\theta_{12}$$



DayaBay/RENO

$$\Delta_{21}(\langle E \rangle \sim 4 \text{ MeV}, L \sim 1 \text{ km}) \sim 2 \%$$

effective scale for ν_e disappearance

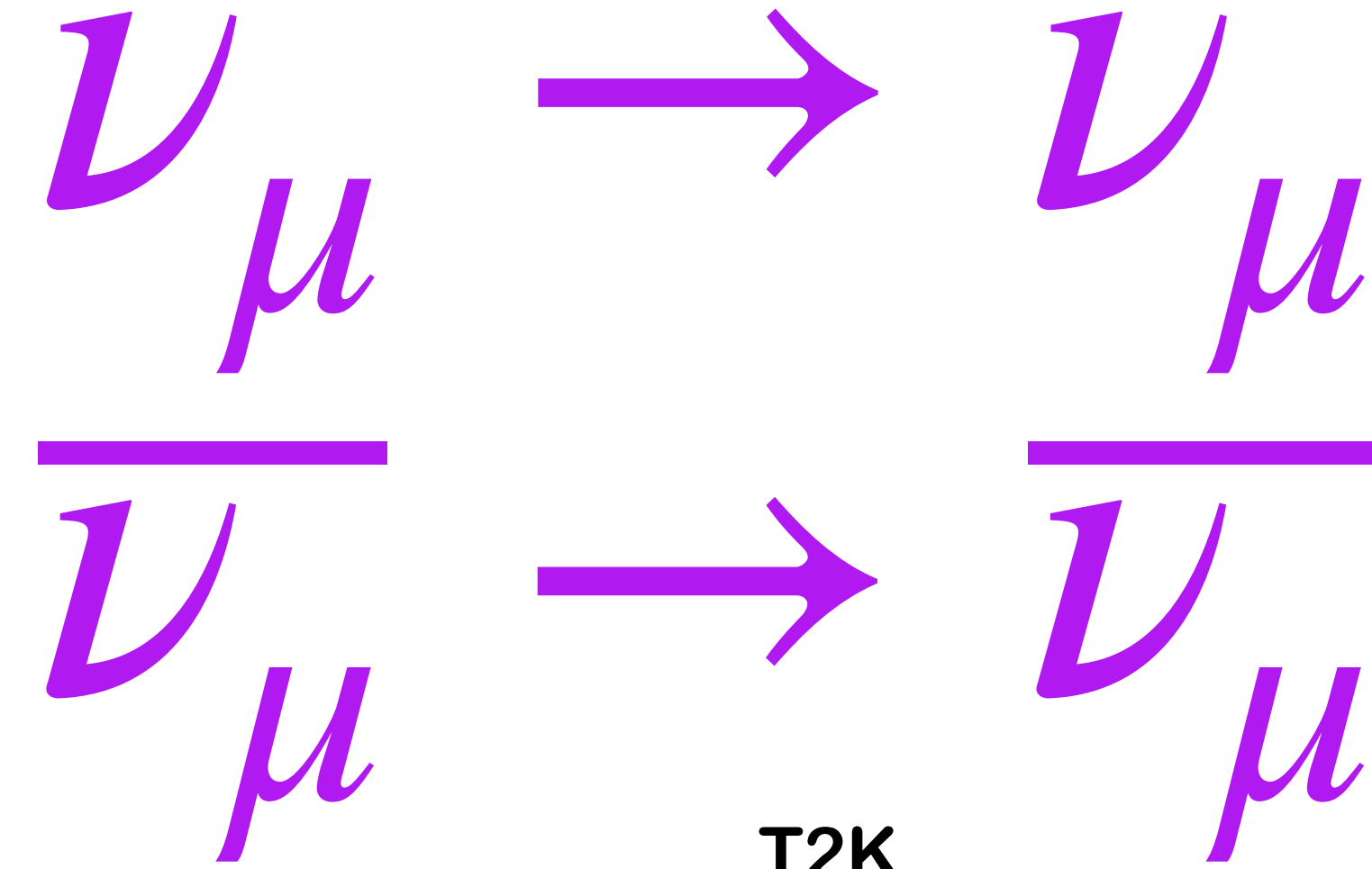
$\Delta m_{31}^2, \Delta m_{32}^2, \Delta m_{ee}^2$
all equivalent

Effect Δm_{atm}^2 - scale For Disappearance Experiments

[H. Nunokawa, S. J. Parke, RZF (2005)]

- for experiments where $\Delta_{21} \equiv \frac{\Delta m_{21}^2 L}{4E} \ll 1$
in vacuum

$$P_{\nu_\mu \rightarrow \nu_\mu} = 1 - \sin^2 2\theta_{\text{eff}} \sin^2 \Delta_{\mu\mu} + \mathcal{O}(\Delta_{21}^2)$$



$$\Delta_{21}(\langle E \rangle \sim 0.6 \text{ GeV}, L \sim 295 \text{ km}) \sim 5 \%$$

NOvA

$$\Delta_{21}(\langle E \rangle \sim 2.0 \text{ GeV}, L \sim 810 \text{ km}) \sim 4 \%$$

$$\Delta m_{\mu\mu}^2 \equiv \Delta m_{31}^2 \sin^2 \theta_{12} + \Delta m_{32}^2 \cos^2 \theta_{21} + \sin \theta_{13} \sin 2\theta_{12} \tan \theta_{23} \cos \delta \Delta m_{21}^2$$

effective scale for ν_μ disappearance

$$\Delta m_{31}^2 |_\mu^{\text{NO}} = |\Delta m_{\mu\mu}^2| + \Delta m_{21}^2 (\cos^2 \theta_{12} - \sin \theta_{13} \cos \delta^{\text{NO}})$$

$$|\Delta m_{32}^2|_\mu^{\text{IO}} = |\Delta m_{\mu\mu}^2| + \Delta m_{21}^2 (\sin^2 \theta_{12} + \sin \theta_{13} \cos \delta^{\text{IO}})$$

$$\Delta m_{31}^2 |_\mu^{\text{NO}} - |\Delta m_{32}^2|_\mu^{\text{IO}} = \Delta m_{21}^2 (\cos 2\theta_{12} - 2 \sin \theta_{13} \overline{\cos \delta})$$

$\Delta m_{31}^2, \Delta m_{32}^2, \Delta m_{\mu\mu}^2$
all equivalent

Mass Ordering Sum Rule

For Disappearance Experiments

[S. J. Parke, RZF (2024) [arXiv:2404.0873](https://arxiv.org/abs/2404.0873)]

@ the moment no disappearance experiment has any sensitivity to the ordering
few %

$$(\Delta m_{31}^2|_{\mu}^{\text{NO}} - \Delta m_{31}^2|_e^{\text{NO}}) + (|\Delta m_{32}^2|_e^{\text{IO}} - |\Delta m_{32}^2|_{\mu}^{\text{IO}}) = (2 \cos 2\theta_{12} - 2 \sin \theta_{13} \overline{\cos \delta}) \Delta m_{21}^2$$

$$(2.4 - 0.9 \overline{\cos \delta}) \% |\Delta m_{\text{atm}}^2|$$

$$\Delta m_{31}^2|_{\mu}^{\text{NO}} = \Delta m_{31}^2|_e^{\text{NO}} \quad \text{if NO is true}$$

$$\Delta m_{31}^2|_{\text{T2K+NOvA}}^{\text{NO}} = (2.516 \pm 0.031) \times 10^{-3} \text{eV}^2$$

$$\sim 1.2 \%$$

$$|\Delta m_{32}^2|_e^{\text{IO}} = |\Delta m_{32}^2|_{\mu}^{\text{IO}} \quad \text{if IO is true}$$

$$|\Delta m_{32}^2|_{\text{T2K+NOvA}}^{\text{IO}} = (2.485 \pm 0.031) \times 10^{-3} \text{eV}^2$$

[<http://www.nufit.org> - v52.fig-chisq]-dma.pdf]

Mass Ordering Sum Rule

For Disappearance Experiments

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$$(\Delta m_{31}^2|_{\mu}^{\text{NO}} - \Delta m_{31}^2|_e^{\text{NO}}) + (|\Delta m_{32}^2|_e^{\text{IO}} - |\Delta m_{32}^2|_{\mu}^{\text{IO}}) = (2 \cos 2\theta_{12} - 2 \sin \theta_{13} \overline{\cos \delta}) \Delta m_{21}^2$$

$$(2.4 - 0.9 \overline{\cos \delta}) \% |\Delta m_{\text{atm}}^2|$$

$$\Delta m_{31}^2|_{\mu}^{\text{NO}} = \Delta m_{31}^2|_e^{\text{NO}}$$

if NO is true

Daya Bay

$\sim 2.4 \%$

$$|\Delta m_{32}^2|_e^{\text{IO}} = |\Delta m_{32}^2|_{\mu}^{\text{IO}}$$

if IO is true

Disappearance Experiments Synergy

Neutrino Mass Ordering

NuFIT 5.3 (2024)

$$\Delta m_{31}^2|_{\mu}^{\text{NO}} > |\Delta m_{32}^2|_{\mu}^{\text{IO}}$$

T2K/NO ν A

$$\Delta m_{31}^2|_{\mu}^{\text{NO}} - |\Delta m_{32}^2|_{\mu}^{\text{IO}} = \Delta m_{21}^2 (\cos 2\theta_{12} - 2 \sin \theta_{13} \overline{\cos \delta})$$

Daya Bay/RENO/DC

LBL+Reactors

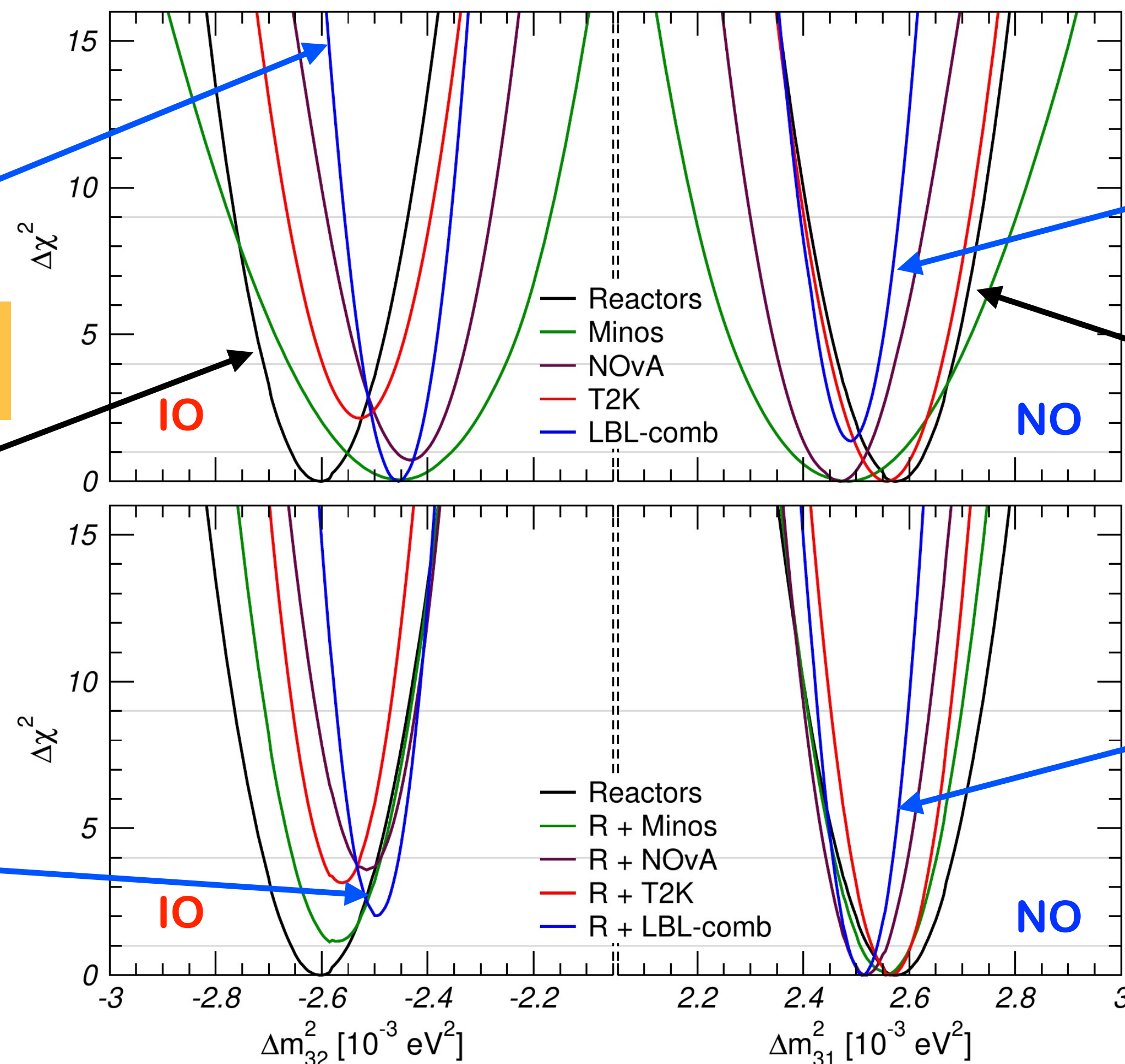
T2K/NO ν A

$$|\Delta m_{32}^2|_e^{\text{IO}} > \Delta m_{31}^2|_e^{\text{NO}}$$

Daya Bay/RENO/DC

$$|\Delta m_{32}^2|_e^{\text{IO}} - \Delta m_{31}^2|_e^{\text{NO}} = \Delta m_{21}^2 \cos 2\theta_{12}$$

LBL+Reactors

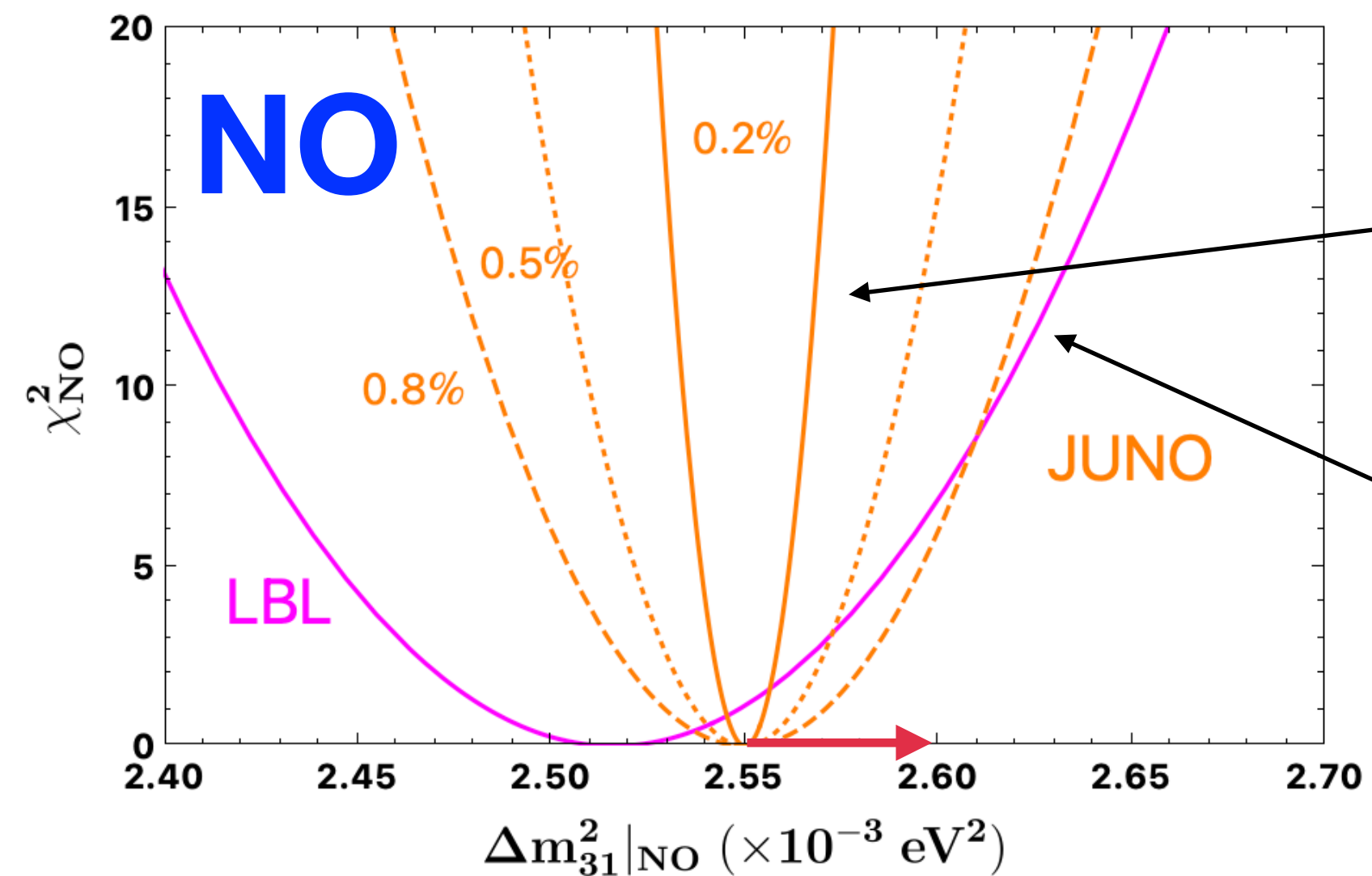


inverted ordering

normal ordering

JUNO SUB-% PRECISION

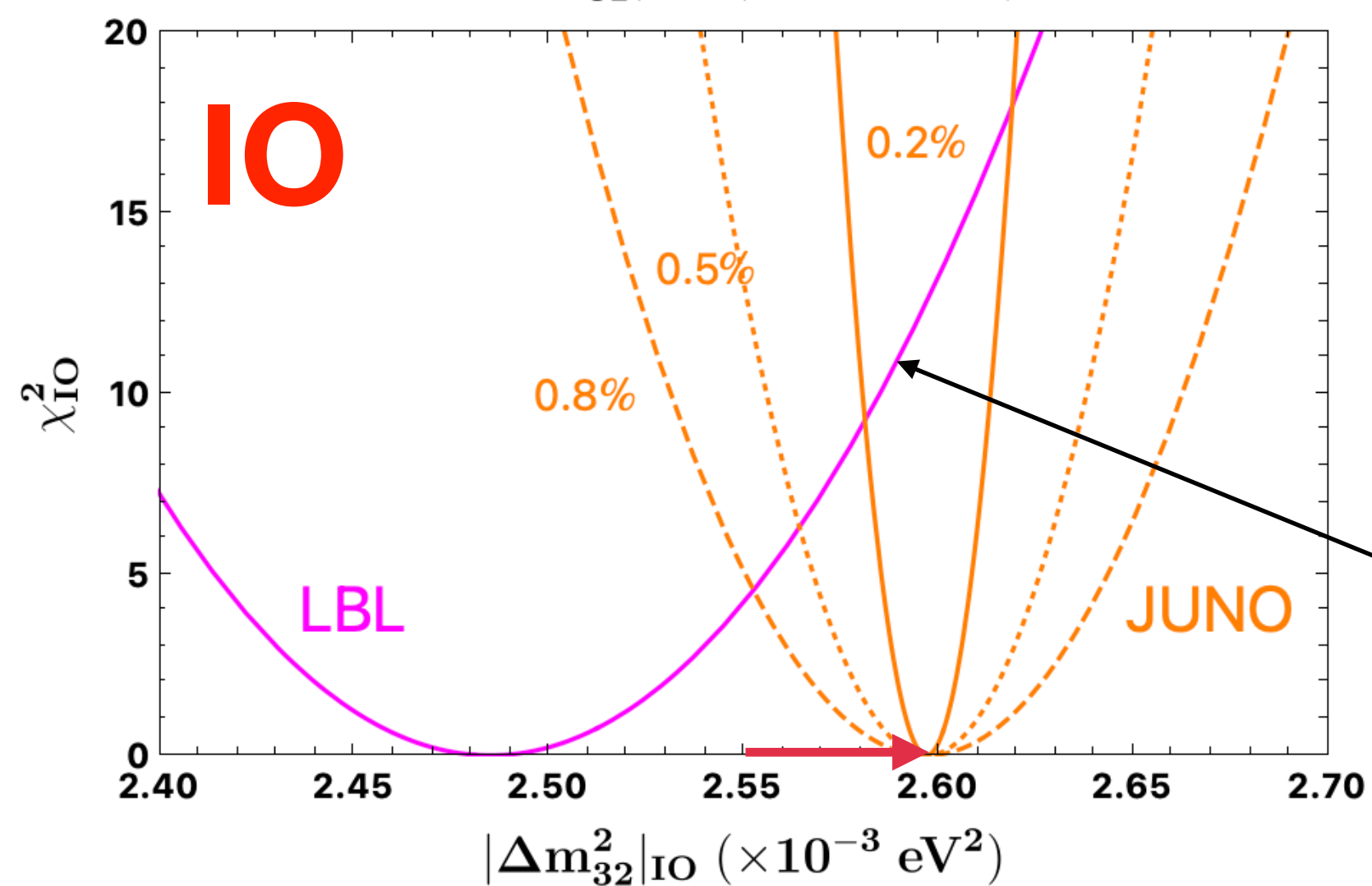
assuming NO is true



$$|\Delta m^2_{32}|_{\text{JUNO}}^{\text{IO}} = \Delta m^2_{31}|_{\text{JUNO}}^{\text{NO}} + 4.7 \times 10^{-5} \text{ eV}^2$$

[S. J. Parke, RZF (2024) [arXiv:2404.0873](https://arxiv.org/abs/2404.0873)]

$$\Delta m^2_{31}|_{\text{T2K+NOvA}}^{\text{NO}} = (2.516 \pm 0.031) \times 10^{-3} \text{ eV}^2$$



$$|\Delta m^2_{32}|_{\text{T2K+NOvA}}^{\text{IO}} = (2.485 \pm 0.031) \times 10^{-3} \text{ eV}^2$$